

# EFIT++ Equilibrium Reconstruction

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- I. EFIT++ code
- II. MSE
- III. Flux Coordinates
- IV. Induced Currents
- V. Errors
- VI. Running EFIT++
- VII. Documentation

- **EFIT++** is a rewrite of Lang Lao's original **EFIT**<sup>1</sup> code
  - **1996** Porting of **EFIT** to CCFE from GA.
  - **2005** Machine independent **EFIT**; interface to **ITM**.
  - **2006** New C++ driver.
  - **2007** Development of documentation, test suite.
  - **2008** Development of module to compute Boozer coordinates.
  - **2009** Generalised MSE constraint, netCDF-4 file output

<sup>1</sup>Lao, L L et al, *Nuclear Fusion* **25** (1985) 1611.

**EFIT++** solves the equation of equilibrium force balance in a tokamak in the presence of finite toroidal rotation,  $\omega$ , and zero poloidal rotation:

$$\mathbf{j} \times \mathbf{B} = \nabla p + \rho_m \frac{d\mathbf{v}}{dt}$$

$$\approx \nabla p - \rho_m \omega^2 R \mathbf{e}_R$$

Resulting Grad-Shafranov equation is<sup>1</sup>

$$\Delta^* \psi_p = -\mu_o R J(R, Z)$$

<sup>1</sup>Thyagaraja, A and McClements, K.G., *Phys of Plasmas* **13** 026502 (2006)

where

$$J_\varphi(R, Z) = \frac{1}{\mu_o R} f f'(\psi_p) + R p'(\psi_p, R)$$

in which

$$p(\psi_p, R) = p_o(\psi_p) \exp \left[ \left( \frac{\omega(\psi_p)}{c_s(\psi_p)} \right)^2 \frac{R^2}{2} \right]$$

$$f(\psi_p) = R B_\varphi$$

and  $c_s = \sqrt{T(\psi_p)/m}$  is the ion sound speed.

EFIT++ requires  $J_\varphi$  to be a linear function of the flux functions. This is possible in the limit  $\omega R/c_s < 1$

$$p \approx p_1(\psi_p) + \left( \frac{R^2 - R_T^2}{R_T^2} \right) p_w(\psi_p)$$

where

$$p_w(\psi_p) = \frac{R_T^2 p_o(\psi_p)}{2} \left( \frac{\omega(\psi_p)}{c_s(\psi_p)} \right)^2$$

and

$$p_1(\psi_p) = p_o(\psi_p) - p_w(\psi_p)$$

yielding

$$J_\varphi(R, Z) = \frac{1}{\mu_o R} f f'(\psi_p) + R p'(\psi_p) + \left( \frac{R^2 - R_T^2}{R_T^2} \right) R p_w'(\psi_p)$$

Users select either polynomial flux-functions

$$g(\tilde{\psi}) = \sum_{i=1}^{i=n} \alpha_i \tilde{\psi}^{i-1} - H \tilde{\psi}^n \sum_{i=1}^{i=n} \alpha_i$$

$$\tilde{\psi} = (\psi_p - \psi_p^{axis}) / (\psi_p^{LCFS} - \psi_p^{axis})$$

(with H=0 or H=1), or a tension spline<sup>1</sup> representation:

$$g(\tilde{\psi}) = \frac{g_i''}{T^2} \left[ \frac{\sinh(T(\tilde{\psi}_{i+1} - \tilde{\psi}))}{\sinh(Th_i)} - \frac{1}{h_i} (\tilde{\psi}_{i+1} - \tilde{\psi}) \right] + \frac{g_i}{h_i} (\tilde{\psi}_{i+1} - \tilde{\psi}) +$$

$$\frac{g_{i+1}''}{T^2} \left[ \frac{\sinh(T(\tilde{\psi} - \tilde{\psi}_i))}{\sinh(Th_i)} - \frac{1}{h_i} (\tilde{\psi} - \tilde{\psi}_i) \right] + \frac{g_{i+1}}{h_i} (\tilde{\psi} - \tilde{\psi}_i)$$

$$h_i = \tilde{\psi}_{i+1} - \tilde{\psi}_i$$

- Continuity in  $g'$  enforced at each internal knot.
- Users can prescribe  $g$  or  $g''$  at any knot location.

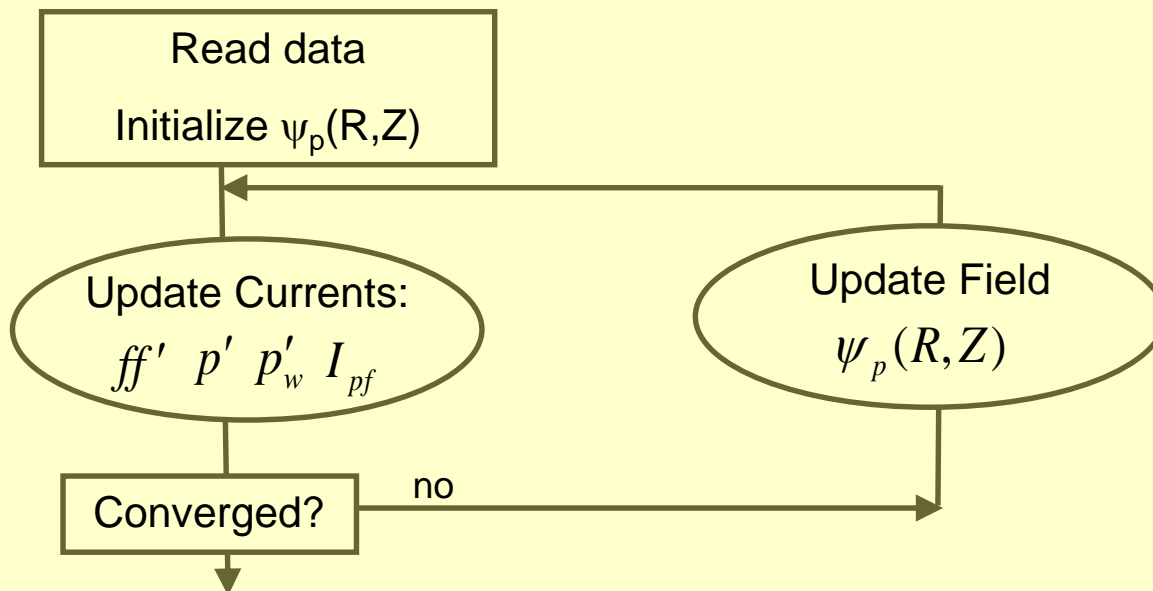
<sup>1</sup>Cline, A.,K, ACM 17 (1974) 218

EFIT++ needs to solve a 2-D nonlinear elliptic equation of the form

$$\Delta^* \psi_p = F(ff'(\psi_p), p'(\psi_p), p'_w(\psi_p), R, \{I_{pf}\})$$

Algorithm uses a Picard's iteration scheme. Each iteration solves two separate problems:

- Given  $\psi_p(R, Z)$  obtain  $ff', p', p'_w, R, \{I_{pf}\}$
- Given  $ff', p', p'_w, R, \{I_{pf}\}$  obtain  $\psi_p(R, Z)$



1) Given  $\psi_p(R, Z)$  obtain  $ff', p', p'_w, R, \{I_{pf}\}$  .

➤ Obtain chi-squared fit to spline coefficients and poloidal currents

$$\chi^2 = \left| \sum_{i=1}^N \chi_i^2 \right|_{Min}$$

$$\chi_i^2 = \left( \frac{M_i - P_i}{\sigma_i} \right)^2$$

$M_i$  is measured value of constraint  $i$  input by user  
 $\varepsilon_{abs}$  (absolute error) and  $\varepsilon_{rel}$  (relative error) input by user  
 $W_i$  (weight) input by user

Form linearised constraint equations, eg. for magnetic detectors:

$$\sigma_i = \frac{|\varepsilon_{abs}, \varepsilon_{rel} M_i|_{MAX}}{W_i}$$

$$P_i(r_i) = \sum_j G(r_i; r_{pf(j)}) I_{pf(j)}^{(m+1)} + \int_{plasma} G(r_i; R, Z) J_\phi(R, \psi_p^{(m)}, \alpha_{1...p}^{(m+1)}, \beta_{1...q}^{(m+1)}, \gamma_{1...r}^{(m+1)}) dR dZ$$

Currents and Flux function coefficients



2) Given  $ff', p', p'_w, R, \{I_{pf}\}$  obtain  $\psi_p(R, Z)$  .

➤ *Field solution by solving linearised Grad-Shafranov equation*

$$\Delta^* \psi_p = -\mu_o R J_\varphi$$

*using Finite Difference Grid with boundary-integral to represent external fields.*

- Flux and saddle loops.
- Magnetic pick-up coils.
- Pf circuits.
- $q_0$
- Plasma current
- Diamagnetic flux and  $B_{\phi}$ .
- Total pressure
- Rotational pressure
- B-poloidal
- Position of Separatrix
- Iso-flux surfaces
- Faraday Rotation
- MSE
- $p'$  proportional to  $ff'$
- Relational constraints:  $p'$  ,  $ff'$  ,  $p_{rot}$ ,  $f_{coils}$ .

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## MSE principle:

Measure polarisation angle Stark lines parallel (p) or perpendicular (s) to (local) electric field

- $E = E_s + v_{beam} \times B$

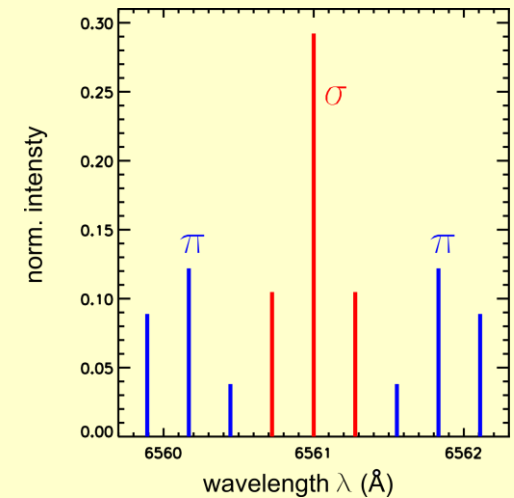
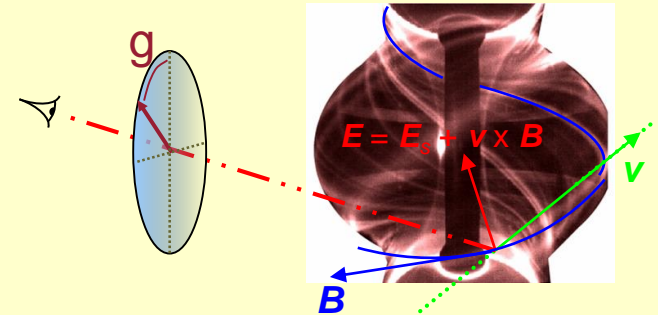
where

$$E_s = -v_{plasma} \times B + \frac{1}{qn} \nabla p + \frac{m}{q} v_{plasma} \cdot \nabla v_{plasma}$$

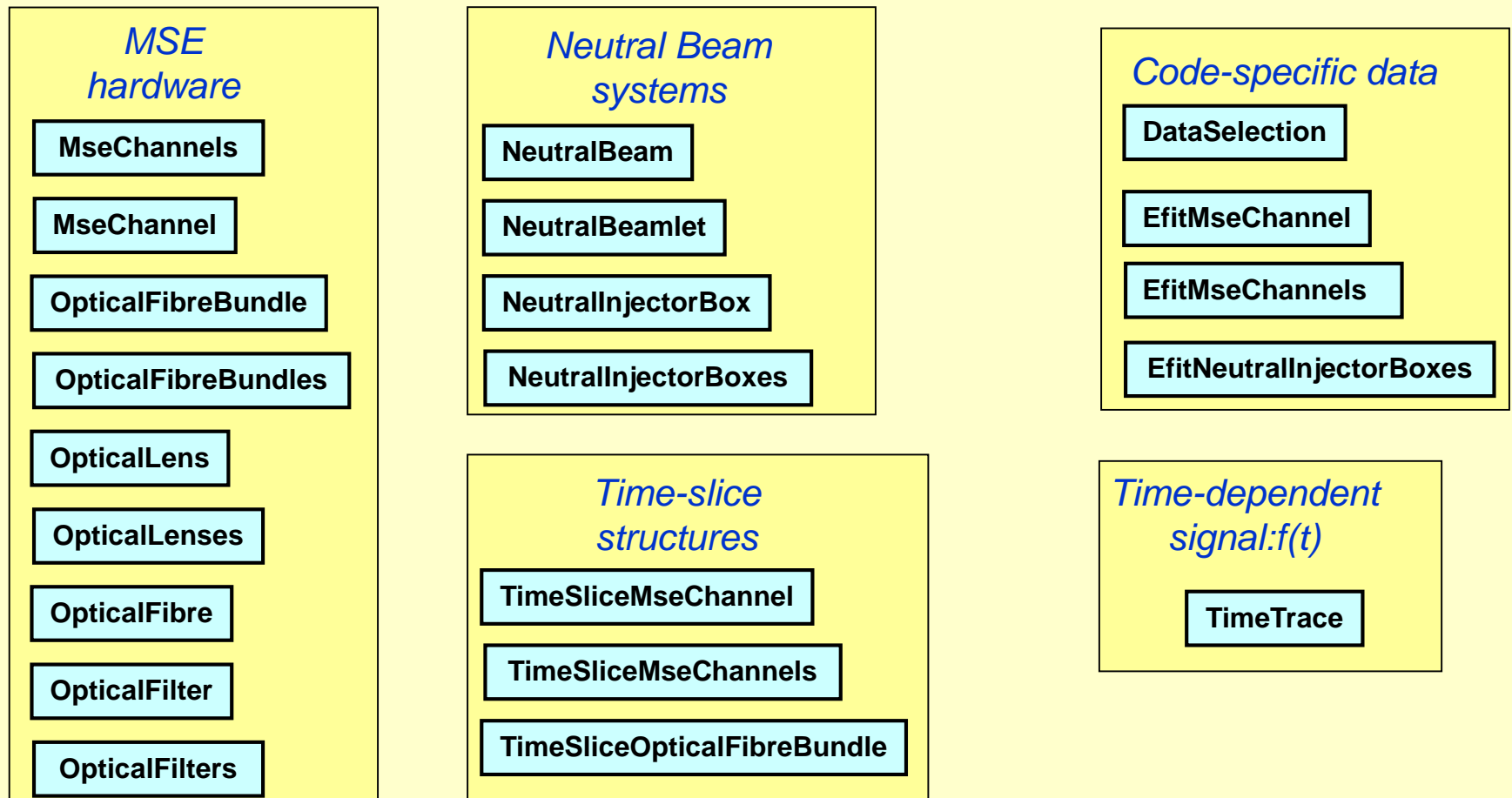
- if  $v_{beam}$  and  $E_s$  are known, B can be determined:  
eg for an infinitesimal collection volume,

$$\tan \gamma = \frac{A_0 B_z + A_1 B_R + A_2 B_\phi}{A_3 B_z + A_4 B_R + A_5 B_\phi}$$

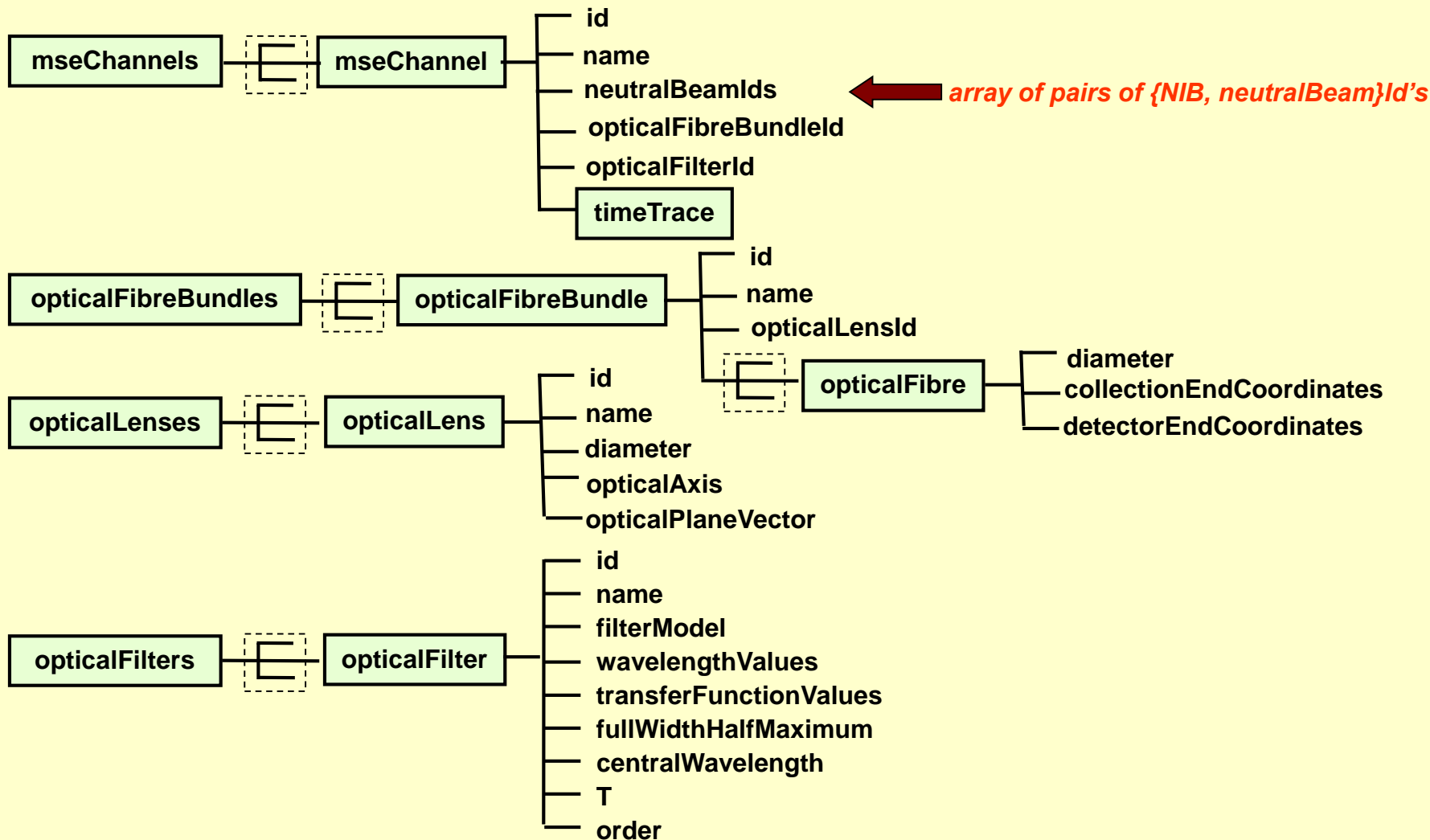
- MSE on MAST operates routinely intershot with
  - 35 channels close to mid-plane.
  - Spatial resolution: 2.5cm
  - Time-resolution 0.5ms.
  - RMS noise  $\Delta\gamma \leq 0.5^\circ$ .
- Reliable MSE-controlled EFIT++ reconstructions.



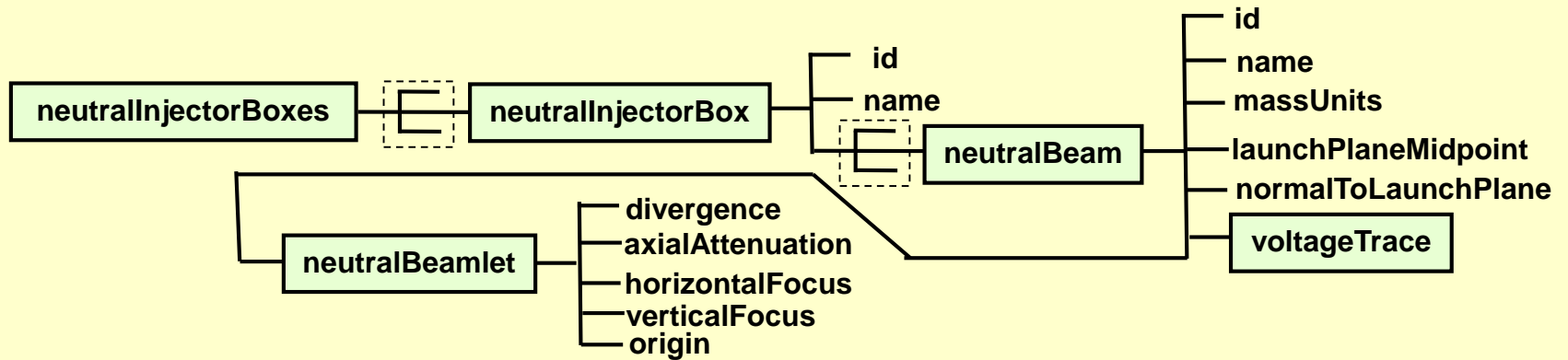
- Generalised MSE constraint requires full description of Neutral beam and MSE optics. Entire system is implemented with 21 object classes.



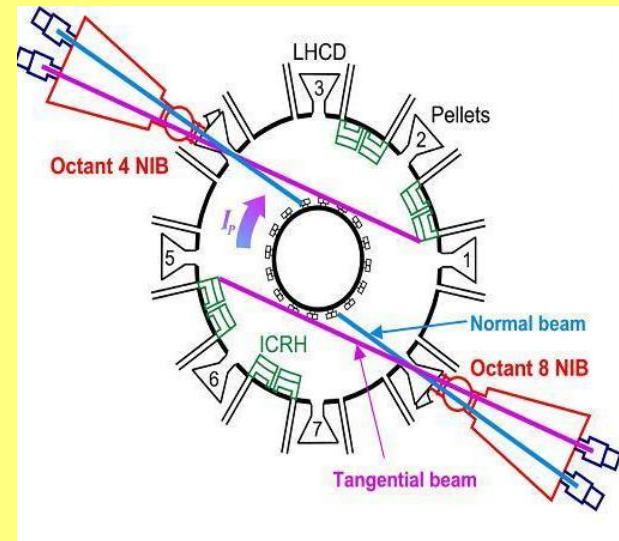
- Tokamak data structures MSE-system



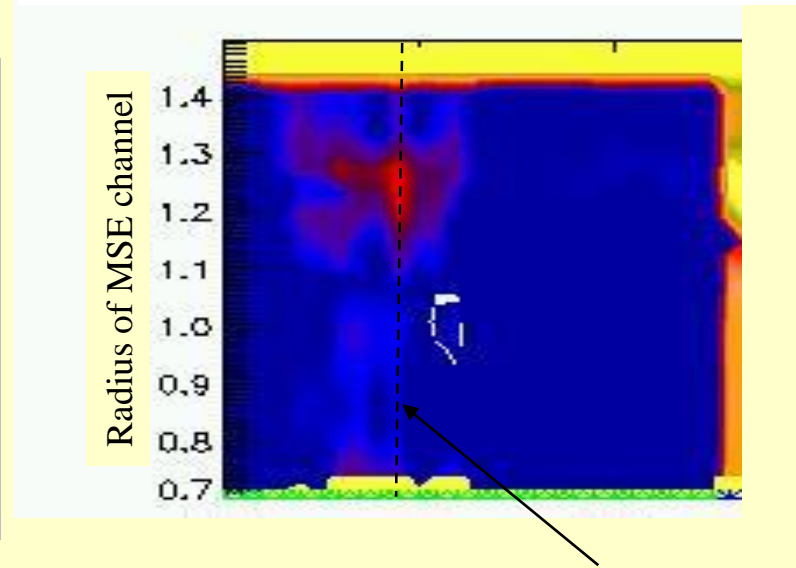
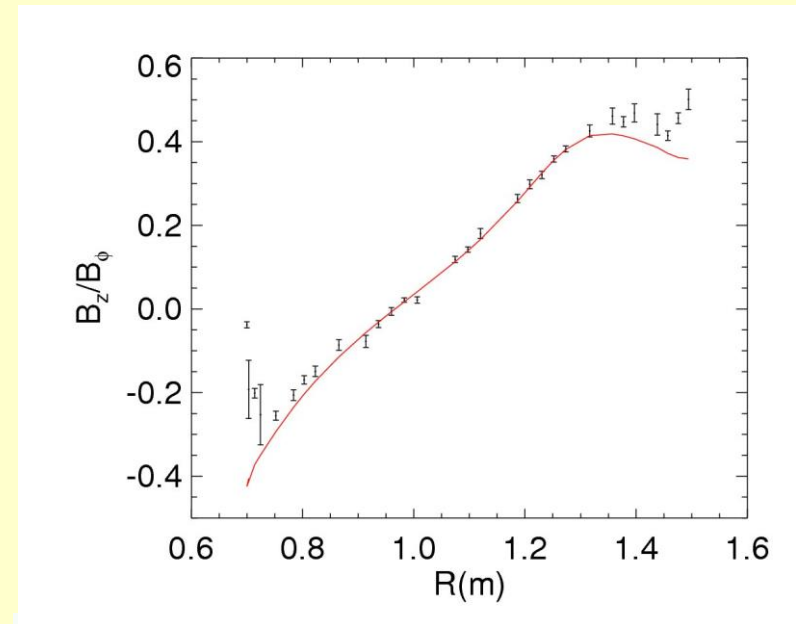
- Tokamak data structures representing neutral beams



Example system, JET with 2 Neutral Injector Boxes (NIBS), each with 8 Positive Ion Neutral Injectors (PINIS), each PINI being composed of many beamlets.



- Fit to MSE data typically best near magnetic axis on outboard size
- Poorest fits for MSE channels
  - inboard of magnetic axis.
  - near outer edge of plasma.



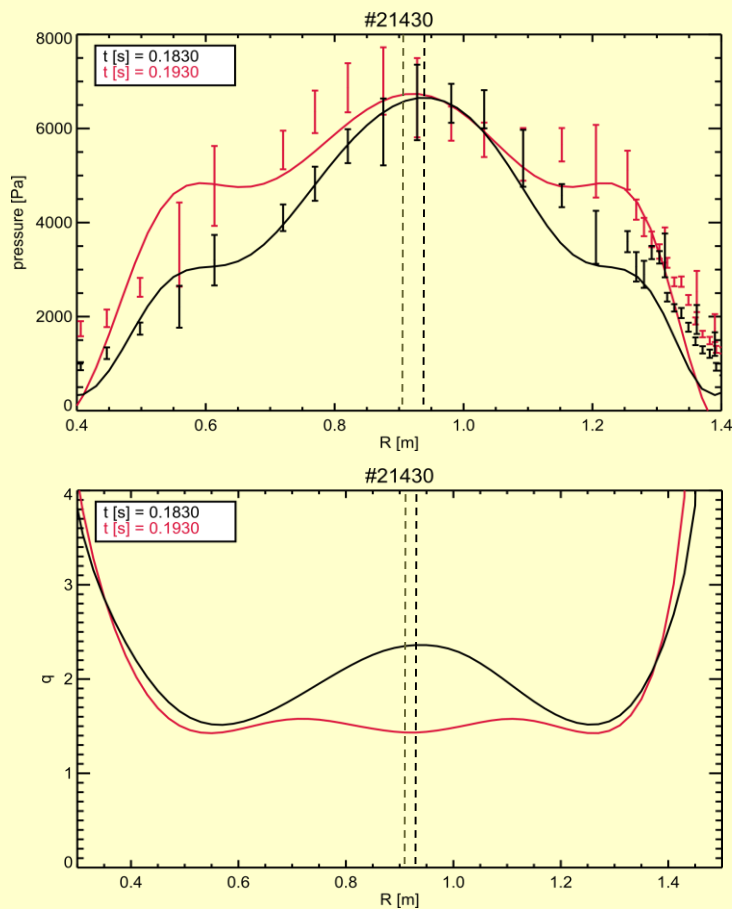
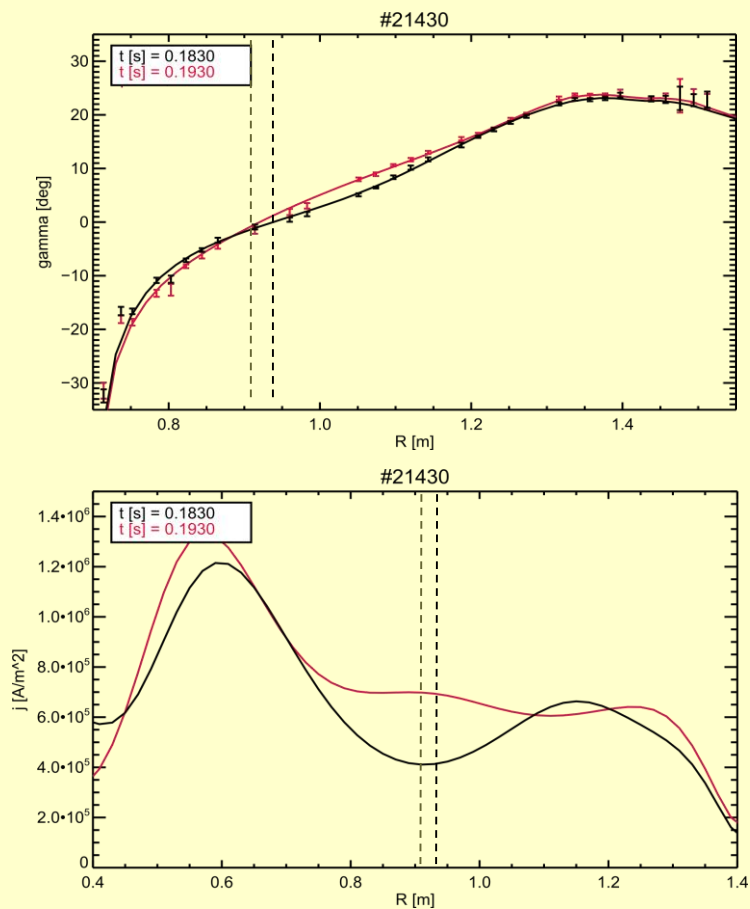
- Analysis of constraint matrix ( $S$ ) reveals cause of inconsistency close to outer edge.
- Construct  $SS^{-1}$  ( $S^{-1}$  is the SVD pseudo-inverse)
- Large off-diagonal values of  $SS^{-1}$  indicate covariance between **outer** MSE channels and **inboard** magnetic signals.

Centre of inboard magnetics



Example MAST reconstruction constrained with 35 MSE channels, pressure measurements, and magnetics.

➤ Evidence of hollow current and reversed  $q$ -profiles.



dashed lines indicate magnetic axis

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- **EFIT++** solves Grad Shafranov equation in cylindrical  $(R, \phi, Z)$  coordinates whereas **HAGIS** code uses flux-coordinates.
- Originally, flux-coordinates were computed by HELENA.
  - Particles could not be followed outside the separatrix.
- **EFIT++** now extended:
  - Flux coordinates computed directly from the unbounded EFIT++ equilibrium.
  - Flux coordinates continuous over both interior and exterior domains.

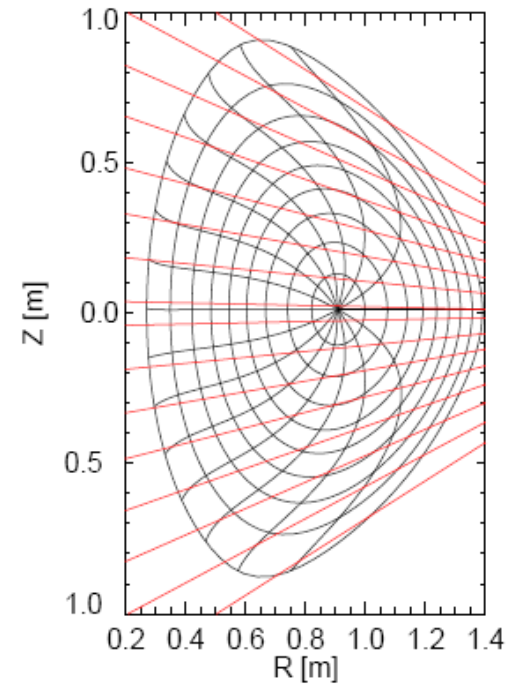
- HAGIS coordinates are

$$B = \delta(\psi_p, \theta) \nabla \psi_p + I(\psi_p) \nabla \theta + g(\psi_p) \nabla \zeta$$

$$B = \nabla \zeta \times \nabla \psi_p + q \nabla \psi_p \times \nabla \theta$$

$$J = \frac{I + gq}{B^2} \quad q = \frac{d\zeta}{d\theta} = \frac{B \cdot \nabla \zeta}{B \cdot \nabla \theta}$$

- Interior plasma EFIT++ region uses *HAGIS* coordinates.
- But singularity problem at separatrix due to x-point!



Contours of constant  $\psi$  and  $\theta$  of MAST equilibrium (red lines indicate SXR sight lines)

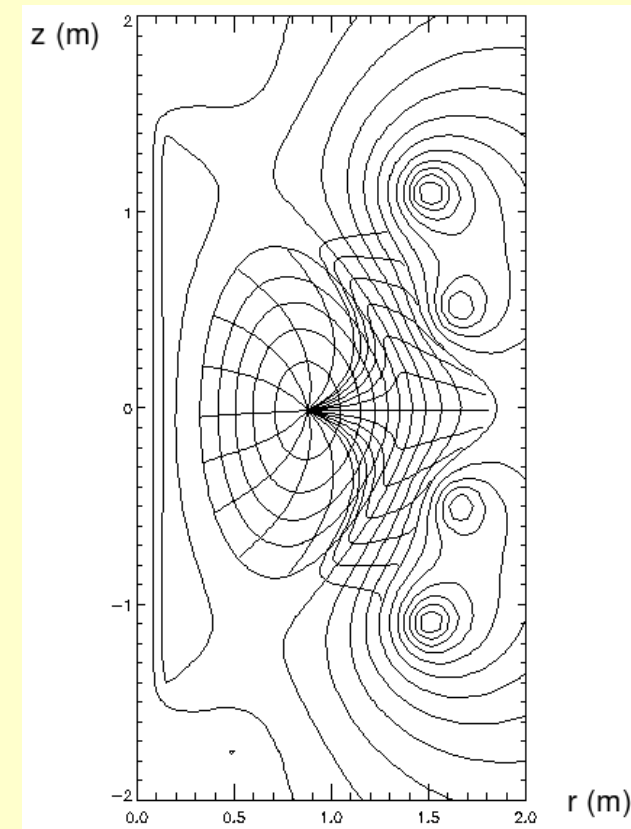
## Singularity avoided in EFIT++

- For  $0 < \bar{\psi}_p < 0.95$  construct straightfield line coordinates.
- For  $\bar{\psi}_p > 0.95$  construct Boozer coordinates on open flux surface between  $z_{min} < z < z_{max}$
- In exterior region,  $I(\psi_p)$  and  $q(\psi_p)$  are arbitrary functions.
  - Ensure that  $I(\psi_p)$  and  $q(\psi_p)$  match at boundary; also define

$$I = I_{edge} + \frac{\partial I}{\partial \psi_p} \bigg|_{edge} \psi_N \left( 1 - e^{-\frac{|\psi_p - \psi_{edge}|}{\psi_N}} \right)$$

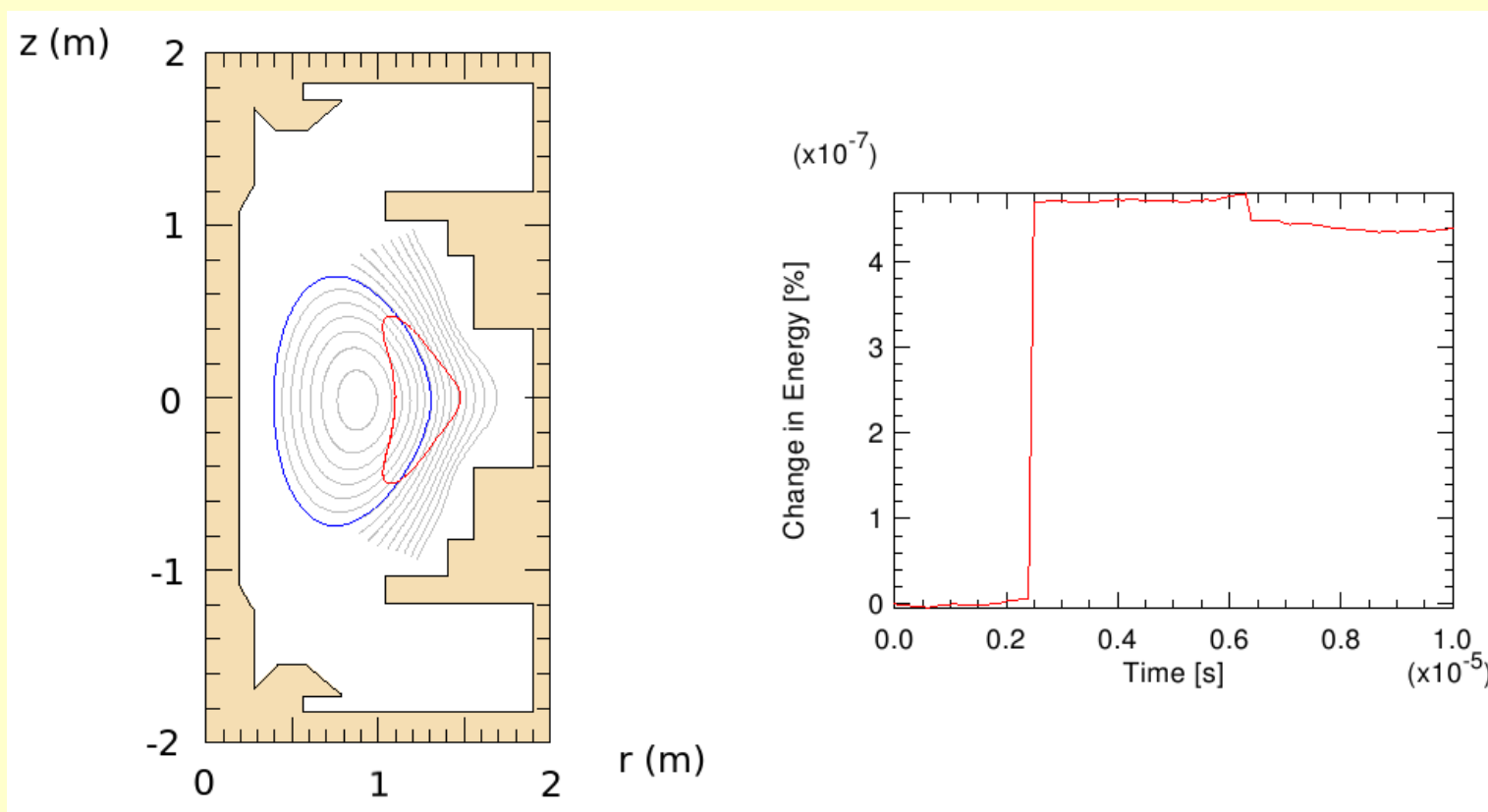
and maximal variation of  $\theta$  on a surface  $\psi_p$ :

$$\Delta\theta = \Delta\theta_{edge} + \frac{\partial \Delta\theta}{\partial \psi_p} \bigg|_{edge} \psi_N \left( 1 - e^{-\frac{|\psi_p - \psi_{edge}|}{\psi_N}} \right)$$



- **EFIT++**-generated flux surfaces interfaced to **HAGIS** code.

➤ Now possible to tracking energetic particle motion across the separatrix..



**Hagis** simulation of a 200keV Deuteron travelling across the separatrix

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- Induced currents are included with a separate programme

## **INDUCTION**

- Solves induction equation on all passive structures.
- Plasma current contribution modelled as a distributed current source scaled to the measured plasma current signal.
- Option exists to run **INDUCTION** iteratively using **EFIT++**-generated plasma current distribution.



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- EFIT++ obtains ‘optimum’ solution of currents and plasma function coefficients by solving linearised constraint equation

$$\underline{\underline{A}}\underline{\underline{X}} = \underline{\underline{B}}$$

- In case where all flux functions are polynomials, solution is obtained using SVD of A,

$$\underline{\underline{A}} = \underline{\underline{U}} \begin{vmatrix} w_1 & & \\ & \ddots & \\ & & w_n \end{vmatrix} \underline{\underline{V}}^T$$

- Least squares solution and covariances are

$$\underline{\underline{X}} = \underline{\underline{V}} \begin{vmatrix} 1/w_1 & & \\ & \ddots & \\ & & 1/w_n \end{vmatrix} \underline{\underline{U}}^T \quad ; \quad \sigma_{jk} = Cov(X_j, X_k) = \sum_{i=1}^n \frac{V_{jk} V_{ki}}{w_i^2}$$

- Errors of derived parameters, eg  $q = f(\underline{\underline{X}})$  given by

$$\sigma_q^2 = \sum_i \sum_j \frac{\partial f(\underline{\underline{X}}_o)}{\partial X_i} \frac{\partial f(\underline{\underline{X}}_o)}{\partial X_j} \sigma_{ij}$$

where  $\underline{\underline{X}}_o$  denotes optimal solution)

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## Four ways to run EFIT++:

1. Invoke **EFIT++** executable directly (*for the expert only*).

2. Execute **EFIT++** using `efit++` shell command:

```
efit++ [-h] [-d] [-e<executable>] [-pN] [-f<hostFile>] [-g] [-i<plasmaCutoffCurrent>] [-o<dir>] [shotBegin] [shotEnd]
```

3. Execute **EFIT++** using IDL controller **efit4idl**

➤ A range of pre-configured run configurations.

➤ Group-settings of run parameters.

➤ Run-time

➤ Output plots generated.

4. Execute **EFIT++** using **MC3**.

➤ Integrated analysis package

➤ Sophisticated visualisation and run-time control.

• Parallelisation implemented using MPI

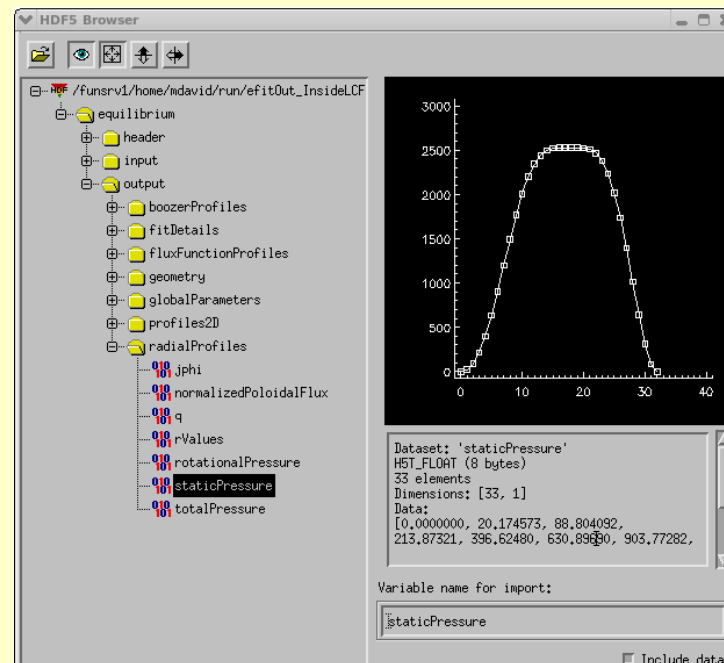
- User-interface mirrors underlying OO code. Two generic types of data
  - **Tokamak data:** data specific to tokamak device.
  - **Code-specific data:** data specific to EFIT++.
- Data input
  - Using IDAM from JET/COMPASS/MAST/FTU data repositories including via MDS+ database.
  - XML data files.
- Input XML can be split into multiple files as desired by user.
- Most code parameters configurable as time-dependent *or* time-independent, eg:

```
<numericalControls
  interpolationMethod=3
  timeMargin=0.2
  times = "0.1 0.2 0.3 0.4" />
  <pp ndeg = "2 3 6 7" edge="1" func="0" />
</numericalControls> </Top>
```

- Example tokamakData.xml file.

```
<Top>
  <include file="tokamakDataSource.xml" />
  <include file="debug.xml" />
  <include file="outputOptions.xml" />
  <include file="times.xml" />
  <include file="numericalControls.xml" />
  <include file="relationalffprime.xml" />
  <include file="relationalpprime.xml" />
  <include file="relationalrotationalpprime.xml" />
  <include file="grid.xml" />
  <include file="current.xml" />
  <include file="efitOptions_submse.xml" />
  <include file="boundary.xml" />
  <include file="pressure.xml" />
  <include file="cx.xml" />
  <include file="efitOptions_subpf.xml" />
  <include file="efitOptions_submp.xml" />
  <include file="efitOptions_subfl.xml" />
</Top>
```

- Output written to **HDF5** and/or **netCDF4** data files.
  - Interpreters for both formats widely available eg in Mathematica, Matlab, IDL...
  - **EFIT++-specific** data visualizers available within **IDL4EFIT** and **MC3**.



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- Extensive *user's* documentation and *programmer's* documentation  
<https://mastweb.fusion.org.uk/svndocs/efit++/index.html>
- EFIT++ code maintained in 'open-access' SVN repository
- Extensive benchmark tests run prior to each code release.
  - Specific tests for JET,MAST,FTU, COMPASS.
- All previous releases maintained online.  
<https://mastweb.fusion.org.uk/svnroot/efit++/development/>
- Current information and views in EFIT WIKI  
<http://fusweb1/culham.CCFE.org.uk/fusionwiki/index.php/EFIT>

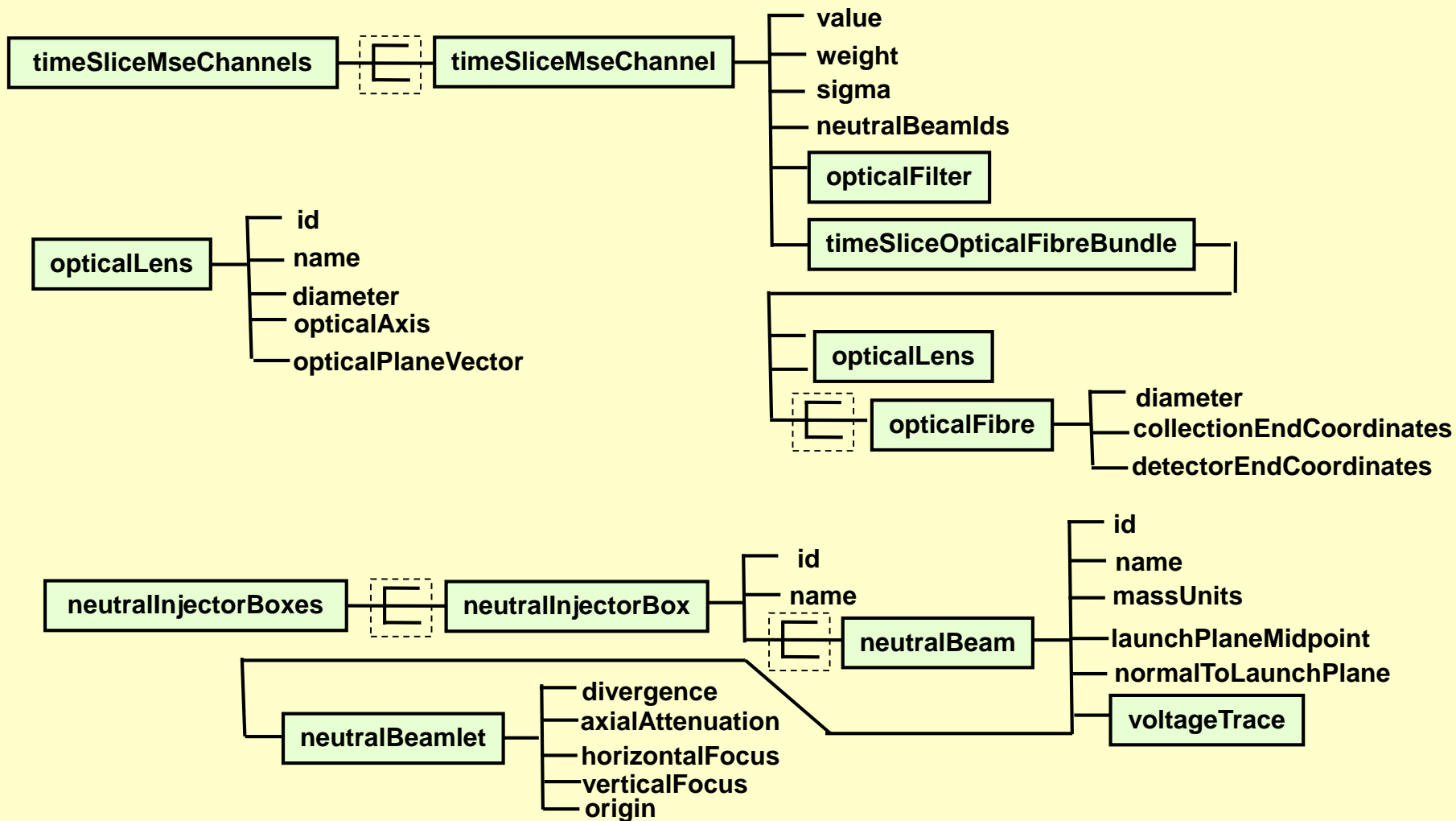


.....**access to EFIT++**

- **EFIT++ svn repository, and WEB documentation** located at <https://mastweb.fusion.org.uk/svndocs/>
- **Read access** available to anyone with a **JETNET** domain or **FUSION** domain account.

- **EFIT++** computes equilibrium reconstruction to provide
  - Routine reconstructions.
  - Tailored reconstructions.
  - Operation as a module of the **ITM**
  - Flexible XML-based input removes 'requirement' to introduce short-term *hacks*.
- Code implementation is machine independent
  - **EFIT++** in use on **MAST, JET, COMPASS** and **FTU tokamaks**.
- **Integral test-suite** run routinely.
- Comprehensive **web-based documentation**.
- International project team.

- Tokamak data structure representation of one timeSlice



- Code-specific data structures

