

$W^{2,p}$ REGULARITY FOR VARIFOLDS WITH MEAN CURVATURE

John Duggan

Suppose $V = \underline{v}(M, \tilde{\theta})$ is a rectifiable n -varifold in \mathbb{R}^{n+k} with generalised mean curvature $\underline{H} \in L^p(\mu, \mathbb{R}^{n+k})$ in U , that is

$$(1) \quad \int \operatorname{div}_M X \, d\mu = - \int X \cdot \underline{H} \, d\mu$$

for all $X \in C_0^1(U, \mathbb{R}^{n+k})$, where $\mu = H^n \llcorner \tilde{\theta}$. Then, if $\tilde{\theta} \geq 1 \mu$ -ae in U , $p > n$, $0 \in \operatorname{spt} \mu$ and $B_\rho(0) \subset U$, the regularity theorem ([A]) states that there are $\gamma = \gamma(n, k, p)$, $\delta = \delta(n, k, p) \in (0, 1)$ such that

$$\frac{\mu(B_\rho(0))}{\omega_n \rho^n} \leq 1 + \delta, \quad \left(\int_U |\underline{H}|^p \, d\mu \right)^{1/p} \rho^{1-n/p} \leq \delta$$

imply that $\operatorname{spt} \mu \cap B_{\gamma\rho}(0) = q(\operatorname{graph} u) \cap B_{\gamma\rho}(0)$ for some linear isometry q of \mathbb{R}^{n+k} and some $u \in C^{1, 1-n/p}(B_{\gamma\rho}(0), \mathbb{R}^k)$. (Here $B_{\gamma\rho}^n(0) = B_{\gamma\rho}(0) \cap \mathbb{R}^n$.) We show here that a higher regularity prevails, and that u is actually $W^{2,p}$ and that the density function $\tilde{\theta}$ is $W^{1,p}$.

We write (1) in non-parametric form:

$$(2) \quad \begin{cases} \int \theta \sqrt{g} g^{i\ell} D_\ell \eta = - \int \theta \sqrt{g} H^i \eta, & 1 \leq i \leq n \\ \int \theta \sqrt{g} g^{m\ell} D_m u^j D_\ell \eta = - \int \theta \sqrt{g} H^{n+j} \eta, & 1 \leq j \leq k, \end{cases}$$

for $\eta \in C_0^1(\Omega)$, Ω a domain in \mathbb{R}^n . Because the results we obtain hold quite generally, as well as in order to simplify the exposition, we consider, instead of (2), the following system:

$$(3) \quad \int_\Omega \theta \Phi_i^s(Du) D_i \eta = - \int_\Omega \theta H^s \eta, \quad 1 \leq s \leq n+k.$$

Then we have

THEOREM: Suppose $\Phi_i^s = \mathbb{R}^{nk} \rightarrow \mathbb{R}$ is C^1 for each $1 \leq s \leq n+k$, $1 \leq i \leq n$, that Φ_i^s has a C^1 right inverse, that is there is $\tilde{\Phi}_s^j: \mathbb{R}^{nk} \rightarrow \mathbb{R}$ which is C^1 for each $1 \leq s \leq n+k$, $1 \leq j \leq n$ and satisfies

$$(4) \quad \sum_{s=1}^{n+k} \Phi_i^s(p) \tilde{\Phi}_s^j(p) = \delta_{ij} \quad p \in \mathbb{R}^{nk},$$

that the following skew symmetry condition holds:

$$(5) \quad \sum_{s=1}^{n+k} (D_{p_m} \Phi_i^s(p)) \tilde{\Phi}_s^j(p) + (D_{p_m} \Phi_m^s(p)) \tilde{\Phi}_s^j(p) = 0,$$

for all $p \in \mathbb{R}^{nk}$, $1 \leq t \leq k$, $1 \leq i, j, m \leq n$, and that the last k equations of (3) satisfy the Legendre-Hadamard ellipticity condition, that is

$$(6) \quad \sum_{s,t=1}^k \sum_{i,j=1}^n D_{p_t} \Phi_i^{n+s}(p) \zeta_s \zeta_t \xi^i \xi^j \geq \lambda_p |\zeta|^2 |\xi|^2$$

for all $p \in \mathbb{R}^{nk}$ with $|p| \leq P$, and all $\zeta \in \mathbb{R}^k$, $\xi \in \mathbb{R}^n$, where $\lambda_p > 0$.

Suppose also that $u = (u^1, \dots, u^k): \Omega \rightarrow \mathbb{R}^k$ is in $C^{1,\alpha}(\bar{\Omega}, \mathbb{R}^k)$ for some $\alpha \in (0,1)$, with $\sup_{\bar{\Omega}} |Du| \leq P$, and that u solves (3), with $\theta \in L^\infty(\Omega)$, $1 \leq \theta \leq L_1$ L^n -a.e. in Ω , and $(H^1, \dots, H^{n+k}) \in L^{p_0}(\Omega, \mathbb{R}^{n+k})$ for $p_0 > n$; then

$$u \in W_{loc}^{2,p_0}(\Omega, \mathbb{R}^k), \quad \theta \in W^{1,p_0}(\Omega),$$

and

$$(7) \quad D_j \theta(x) = H^s(x) \cdot \Phi_s^j(Du(x)) \quad L^n\text{-a.e. in } \Omega.$$

Proof: (Outline) Let $\Omega_0 \subset\subset \Omega$ with $d = \text{dist}(\bar{\Omega}_0, \partial\Omega)$. Let

$$r = 2 \left(\frac{\log \alpha}{\log(1-\alpha)} \right) + 1,$$

and choose domains Ω_i , $1 \leq i \leq r$, with

$$\Omega_0 \subset\subset \Omega_r \subset\subset \Omega_{r-1} \subset\subset \dots \subset\subset \Omega_1 \subset\subset \Omega,$$

with $\text{dist}(\bar{\Omega}_j, \partial\Omega_{j-1})$, $\text{dist}(\bar{\Omega}_0, \partial\Omega_r)$, $\text{dist}(\bar{\Omega}_1, \partial\Omega) \geq \frac{d}{r+1}$, $j = 2, \dots, r$.

Let $0 < h < h_0 = \frac{d}{6(r+1)}$, $1 \leq j \leq n$, and replace η in (3) by $\phi_s^j(Du_h)\eta$ for each $1 \leq s \leq n+k$, where for any $f \in L^1(\Omega)$, f_h denotes its mollification. Then, using (4) and (5), we have

$$(8) \quad \int_{\Omega_1} \theta D_j \eta = \int_{\Omega_1} f_h^{j,i} D_i \eta + \int (f_h^j + \tilde{f}_h^j) \eta$$

$j = 1, \dots, n$, $\eta \in C_0^1(\Omega_1)$, where

$$(9) \quad \begin{cases} f_h^{j,i} = \theta(\phi_i^s(Du_h) - \phi_i^s(Du)) \phi_s^j(Du_h), \\ \tilde{f}_h^s = -\theta H^s \tilde{\phi}_s^j(Du_h) \\ f_h^j = \theta(\phi_i^s(Du_h) - \phi_i^s(Du)) D_{x_i} \tilde{\phi}_s^j(Du_h). \end{cases}$$

We digress here to develop some general results concerning functions which satisfy (8) and (9). For $0 < h \leq h_0$ we let

$$\Omega_h = \{x \in \Omega : \text{dist}(x, \partial\Omega) > h\},$$

and for $f \in L^q(\Omega)$, $1 \leq q \leq \infty$, $\gamma \in (0,1)$ and $z \in \bar{B}_1(0)$ we let

$$\Delta_z^h f(x) = f(x + hz) - f(x)$$

and

$$\mathbb{H}^{\gamma,q}(\Omega) = \{f \in L^q(\Omega) : \sup_{\substack{z \in \partial B_1(0) \\ h > 0}} \frac{1}{h^\gamma} \|\Delta_z^h f\|_{q, \Omega_h} < \infty\}.$$

(The spaces $\mathbb{H}^{\gamma,q}$ are known as Nikolskii spaces.) The following inequalities are easy to prove:

$$(10) \quad \|\Delta_z^h f\|_{q, \Omega_{2h_0}} \leq h \left(\int_{\Omega_{h_0}} \left| \left(\frac{1}{h^n} \int_{B_h(x)} f(y) D_y \rho \left(\frac{x-y}{h} \right) dy \right) \cdot z \right|^q dx \right)^{1/q} \\ + 2 \sum_{i=1}^n \int_0^h \frac{1}{t^{n+1}} \left\| \int_{B_t(x)} f(y) D_{y_i} \left((x_i - y_i) \rho \left(\frac{x-y}{t} \right) \right) dy \right\|_{q, \Omega_{h_0}} dt$$

$$(11) \quad \sup_{\Omega_{2h_0}} |\Delta_z^h f(x)| \leq h \sup_{x \in \Omega_{h_0}} \left| \frac{1}{h^n} \left(\int_{B_h(x)} f(y) D_y \rho \left(\frac{x-y}{h} \right) dy \right) \cdot z \right| \\ + 2 \sum_{i=1}^n \int_0^h \frac{1}{t^{n+1}} \sup_{x \in \Omega_{h_0}} \left| \int_{B_t(x)} f(y) D_{y_i} \left((x_i - y_i) \rho \left(\frac{x-y}{t} \right) \right) dy \right| dt .$$

Using (10) and (11) we can prove

LEMMA 1: If $f \in L^q(\Omega)$, $1 \leq q \leq \infty$, $f_h^{j,i}, f_h^j \in L^q(\Omega)$, $f_h^j \in L^{q_0}(\Omega)$, $1 \leq i, j \leq n$, $0 < h \leq h_0$ with $q_0 = \frac{n}{1-\gamma}$, $\|f_h^j\|_{q_0, \Omega} \leq c$,

$$(12) \quad \|f_h^{j,i}\|_{q, \Omega} \leq ch^\gamma, \quad \|f_h^j\|_{q, \Omega} \leq ch^{\gamma-1}$$

for each $1 \leq i, j \leq n$ and each $0 < h \leq h_0$, where $\gamma \in (0, 1)$ and c is independent of h and with

$$f D_j \eta = \int f_h^{j,i} D_i \eta + \left(\int f_h^j + \tilde{f}_h^j \right) \eta$$

for each $\eta \in C_0^1(\Omega)$, $1 \leq j \leq n$ and $0 < h \leq h_0$, then $f \in \mathbb{H}^{\gamma, q}(\Omega_{h_0})$.

Also, by a simple variation of the difference quotient method, we have

LEMMA 2: Let $v^s \in C^1(\bar{\Omega})$, $1 \leq s \leq k$, be a solution to the system

$$\int_{\Omega} \omega(x) \Psi_i^s(Dv) D_i \eta = \int_{\Omega} g^s \eta, \quad 1 \leq s \leq k,$$

for $\eta \in C_0^1(\Omega)$, where $\omega \in C(\bar{\Omega})$, $\omega \geq 1$, $g^s \in L^2(\Omega)$, $1 \leq s \leq k$, Ψ_i^s is C^1 in all its variables, $1 \leq i \leq n$, $1 \leq s \leq k$ and

$$\sum_{s,t=1}^k \sum_{i,j=1}^n D_{p_j^t} \Psi_i^s(p) \zeta_s \zeta_t \xi^i \xi^j \geq \lambda |\zeta|^2 |\xi|^2,$$

for all $\zeta \in \mathbb{R}^k$, $\xi \in \mathbb{R}^n$ and $p \in \mathbb{R}^{nk}$ with $|p| \leq \sup_{\Omega} |Dv|$, where

$\lambda > 0$. Then, if $\omega \in \mathbb{H}^{\gamma, 2}(\Omega)$, we have

$$D_i v^s \in \mathbb{H}^{\gamma, 2}(\Omega_0), \quad 1 \leq i \leq n, 1 \leq s \leq k,$$

for any $\Omega_0 \subset\subset \Omega$.

We return to the proof of the theorem: Lemma 1, with $q = \infty$, and (8) and (9) immediately imply the Hölder continuity of θ with exponent $\min(\alpha, 1 - \frac{p}{p_0})$. From (9) we have

$$(13) \quad \begin{cases} \|\tilde{f}_h^{i,j}\|_{2,\Omega_1} \leq c \|Du_h - Du\|_{2,\Omega_1} \\ \|\tilde{f}_h^j + \tilde{f}_h^j\|_{2,\Omega_1} \leq ch^{\alpha-1} \|Du_h - Du\|_{2,\Omega_1} + c, \end{cases}$$

so that replacing h by $h^{\frac{1}{1-\alpha}}$ and using Lemma 1, we have

$$\theta \in \mathbb{H}^{\frac{\alpha}{1-\alpha}, 2}(\Omega_2),$$

provided $\alpha \leq \frac{1}{2}$. Lemma 2 now implies that

$$D_i u^s \in \mathbb{H}^{\frac{\alpha}{1-\alpha}, 2}(\Omega_3), \quad 1 \leq i \leq n, \quad 1 \leq s \leq k.$$

Again replacing h by $h^{\frac{\alpha}{1-\alpha}}$ in (13) we see that

$$\theta \in \mathbb{H}^{\frac{\alpha}{(1-\alpha)^2}, 2}(\Omega_3),$$

provided $\frac{\alpha}{(1-\alpha)^2} \leq 1$. This procedure can be iterated $t = \left\lceil \frac{\log \alpha}{\log(1-\alpha)} \right\rceil$

times (so that $\frac{\alpha}{(1-\alpha)^t} \leq 1$ and $\frac{\alpha}{(1-\alpha)^t} + \alpha > 1$) to obtain

$$D_i u^s \in \mathbb{H}^{\frac{\alpha}{(1-\alpha)^t}, 2}(\Omega_t), \quad 1 \leq i \leq n, \quad 1 \leq s \leq k.$$

Letting $h \downarrow 0$ in (8) and noting that none of the quantities depends on Ω_0 , gives (7). The regularity of u follows by standard elliptic theory.

It is straightforward to check that the Euler-Lagrange equations arising from arbitrary C^2 elliptic parametric integrands satisfy the hypotheses of the theorem. Thus we have the regularity as stated.

Furthermore we see that the theorem readily implies a constancy theorem for any varifold, stationary with respect to a C^2 elliptic parametric integrand, whose support is contained in a $C^{1,\alpha}$ manifold for some $\alpha > 0$.

REFERENCES

- [A] W.K. Allard, 'On the first variation of a varifold', *Annals of Math.* 95 (1972), 417-491.
- [D1] J. Duggan, 'Regularity theorems for varifolds with mean curvature', to appear.
- [D2] J. Duggan, ' $W^{2,p}$ regularity for varifolds with mean curvature', to appear.
- [F] H. Federer, *Geometric Measure Theory*, Springer-Verlag, Berlin, Heidelberg, New York (1969).
- [GM] M. Giaquinta, 'Multiple integrals in the calculus of variations and non-linear elliptic systems', *Vorlesungsreihe SFB 72*, no. 6, Bonn (1981).
- [GT] D. Gilbarg and N. Trudinger, *Elliptic Partial Differential Equations of Second Order*, 2nd ed., Springer-Verlag, Berlin, Heidelberg, New York (1984).
- [K] A. Kufner, O. John, and S. Fučík, *Function Spaces*, Noordhoff International Publishing, Prague, Academia (1977).
- [MS] J. Michael and L. Simon, 'Sobolev and mean-value inequalities on generalised submanifolds of \mathbb{R}^n ', *Comm. Pure Appl. Math.* 26 (1973), 361-379.
- [MC] C. Morrey, *Multiple Integrals in the Calculus of Variations*, Springer-Verlag, Berlin, Heidelberg, New York (1966).
- [P] J. Peetre, 'New thoughts on Besov spaces', *Duke University Mathematics Series* 1 (1976).
- [SL] L. Simon, 'Lectures on geometric measure theory', *Proc. of C.M.A., A.N.U.*, Vol. 3 (1983).
- [SE] E. Stein, *Singular Integrals and Differentiability Properties of Functions*, Princeton University Press, Princeton (1960).