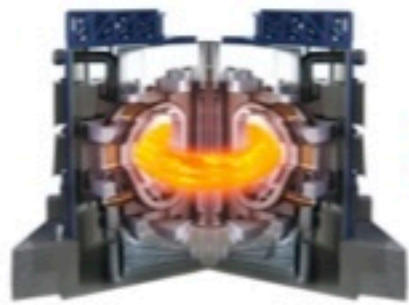




Australian
National
University



The mathematical challenges of fusion plasmas

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14 September 2023

Acknowledgement: Australian Research Council, Simons Foundation

Fusion Plasma Theory and Modelling

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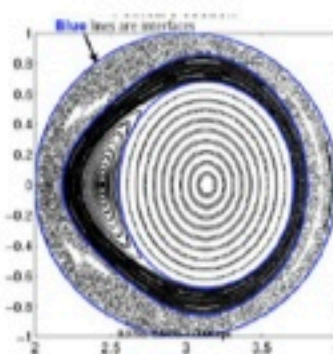
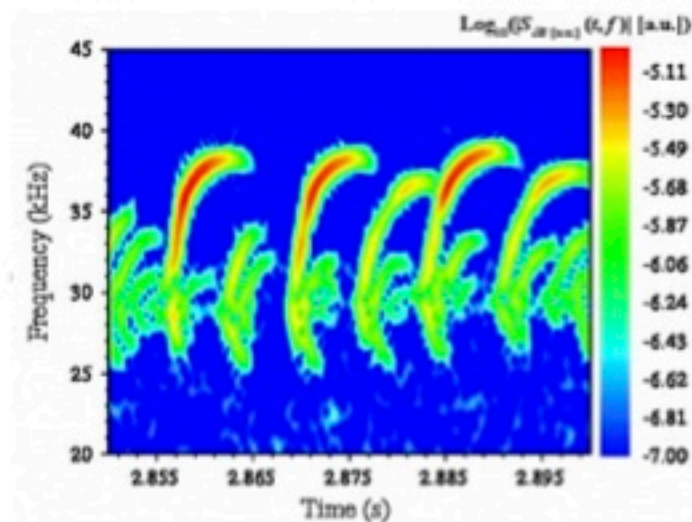
energetic ion
physics

Burning Plasmas
/Strong Auxiliary
heating

Basic
Science

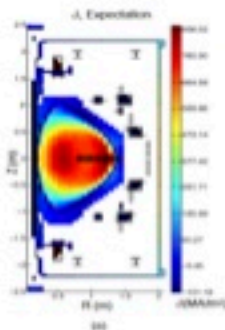
Integrated
modelling

Fully 3D
plasmas



New
“MRxMHD”
approach to
equilibrium
and stability

*Captures magnetic islands
and chaotic fields*



Model-
data
fusion
using
Bayesian
inference

Strong international dimension to research

Collaborators include



Max-Planck-Institut
für Plasmaphysik

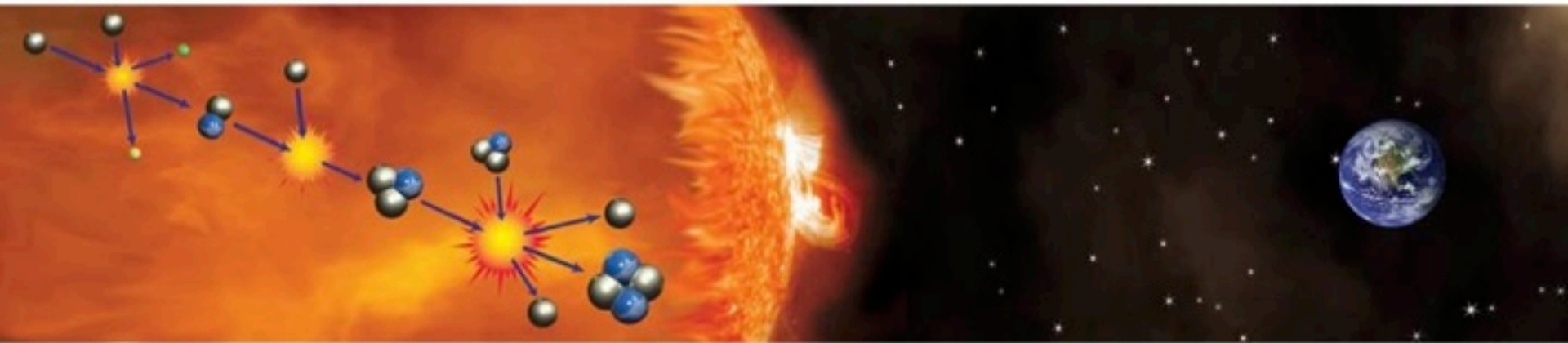


Talk Plan

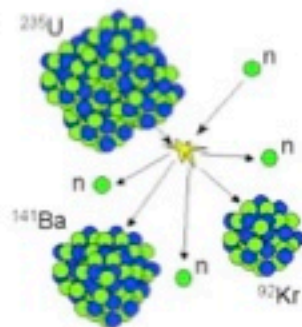
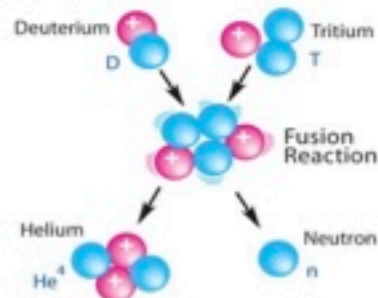
- Plasma Fusion Conditions
- Magnetic Confinement
- Tokamak Reactor – designing a power plant.
- Burning Tokamak – ITER
- Non-tokamak paths
- Mathematical Challenges
- Fusion Plasma Initiatives

Fusion, the power of the sun and the stars, is one option

“...Prometheus steals fire from the heaven”



fusion **cf** **fission**

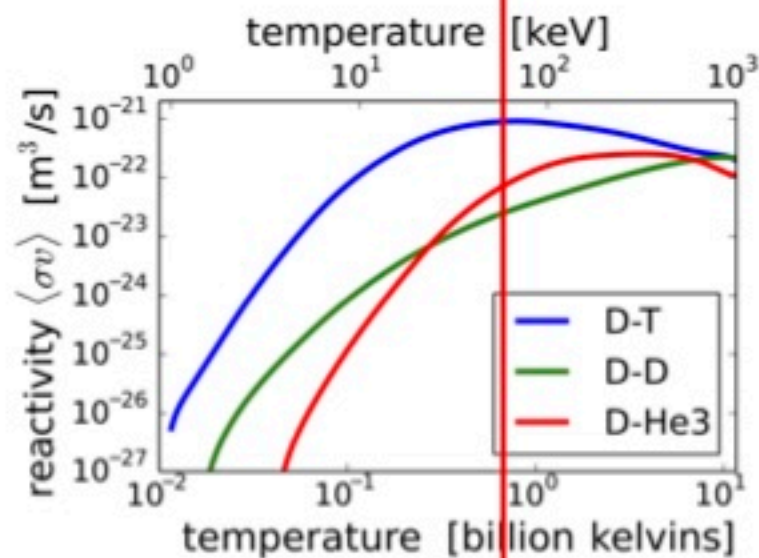


On Earth, fusion could provide:

- Large-scale energy production
- Essentially limitless fuel, available all over the world
- No greenhouse gases
- Intrinsic safety
- No long-lived radioactive waste

Conditions for *terrestrial* fusion power

- Achieve sufficiently high
ion temperature T_i
 $\Rightarrow$ exceed Coulomb barrier
density $n_D \propto$ energy yield
energy confinement time τ_E



≈ 70 keV

τ_E = insulation parameter: e.g. time taken for a jug of hot water to lose energy to the surroundings

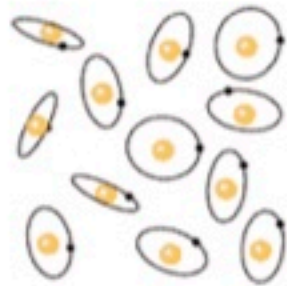
- “Lawson” ignition criteria : Fusion power > heat loss

$$\text{Fusion triple product} \longrightarrow n_D \tau_E T_i > 3 \times 10^{21} \text{ m}^{-3} \text{ keV s}$$

- Steady-state access requires confinement

Plasma : the 4'th state of matter

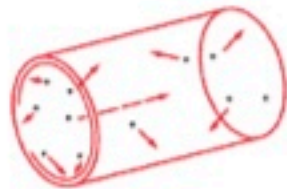
- plasma is an ionized gas



GAS



PLASMA



Motion of charged particles
without magnetic field.



Motion of charged particles
with magnetic field.

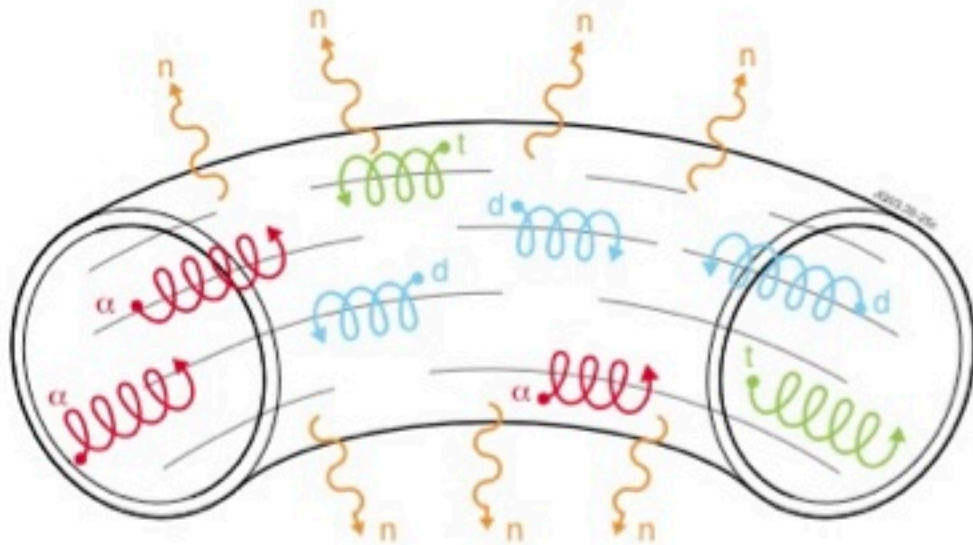
- 99.9% of the visible universe is in a plasma state



Inner region of the M100 Galaxy in the Virgo Cluster, imaged with the Hubble Space Telescope Planetary Camera at full resolution.

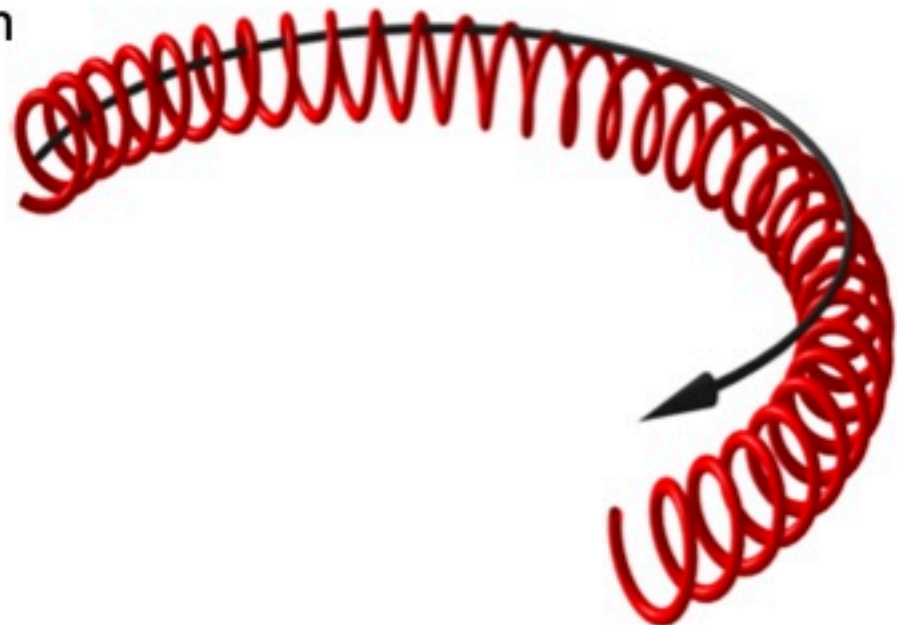
Toroidal Magnetic Confinement

- **Magnetic fields** cause charged particles to spiral around field lines. Plasma particles are lost to the vessel walls only by relatively slow diffusion across the field lines



- Only charged particles (D^+ , T^+ , He^+ ...) are confined
Neutrons escape and release energy
- **Toroidal** (ring shaped) device: a closed system to avoid end losses

But if field lines are bent as in an axisymmetric torus, particles drift off them.



→ To confine particles, constrain their position with a conservation law.

Noether's theorem:

For each **continuous symmetry** of a system, there is a corresponding **conserved quantity**.

Emmy Noether (1882-1935)



Axisymmetry and Noether's theorem is one way to achieve confinement

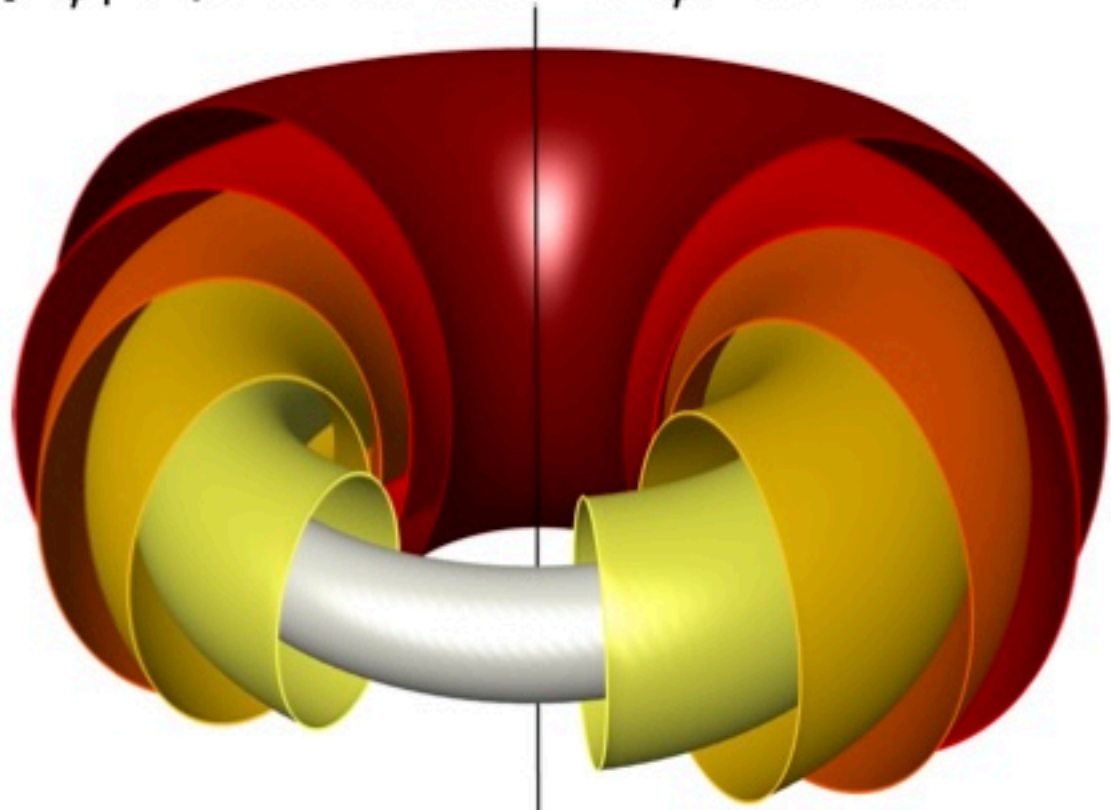
Continuous rotational symmetry $\Rightarrow$ Canonical angular momentum conserved.

$$L_\phi = mv_\phi R + qA_\phi R = \text{constant}$$

Vector potential: $\mathbf{B} = \nabla \times \mathbf{A}$

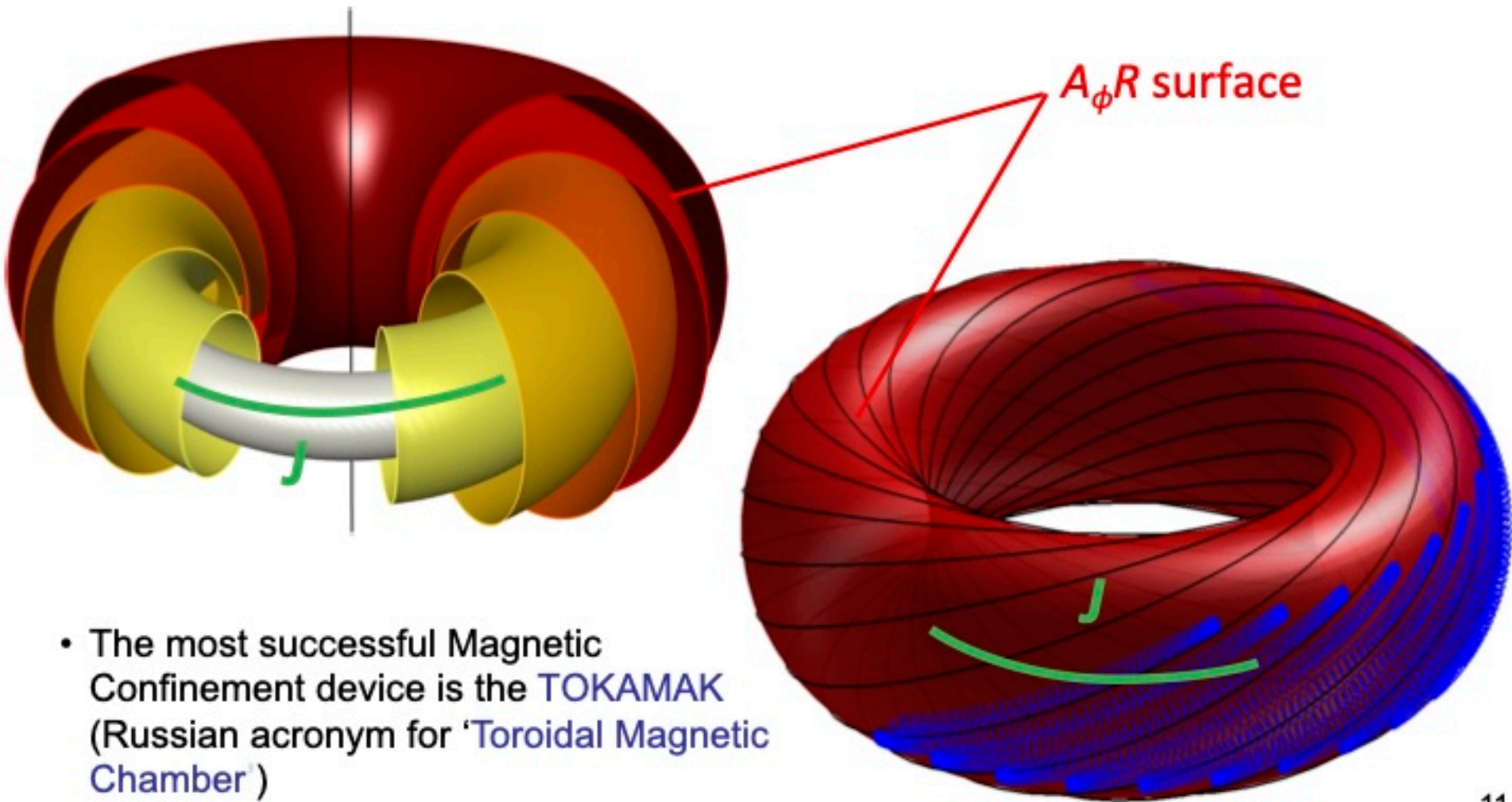
Strong B limit $\Rightarrow |mv_\phi| \ll |qA_\phi| \Rightarrow$ particles stuck to $A_\phi R$ surfaces

If $A_\phi R$ surfaces are bounded, then particles will be confined.

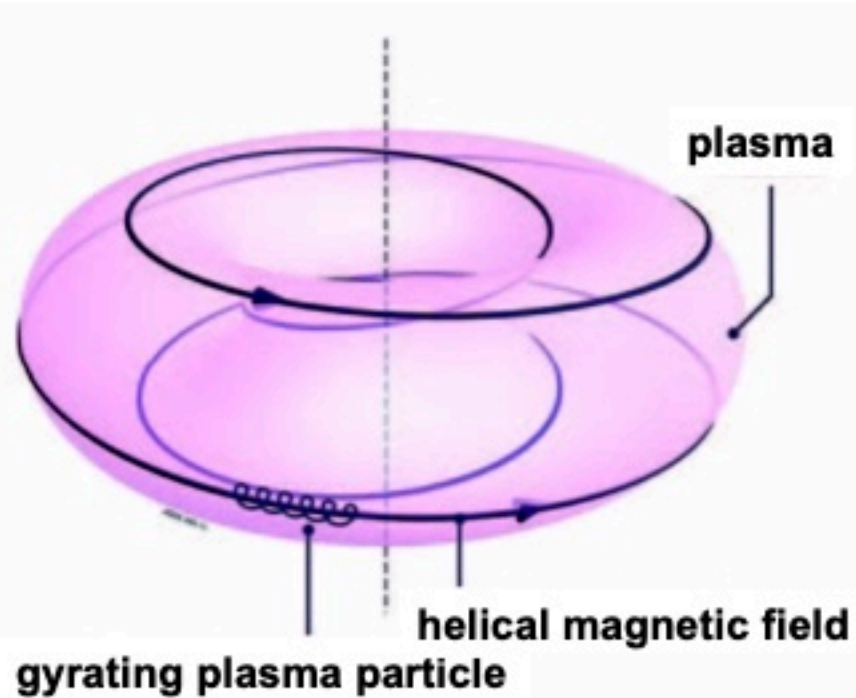


Complication: Axisymmetric confinement requires an internal current

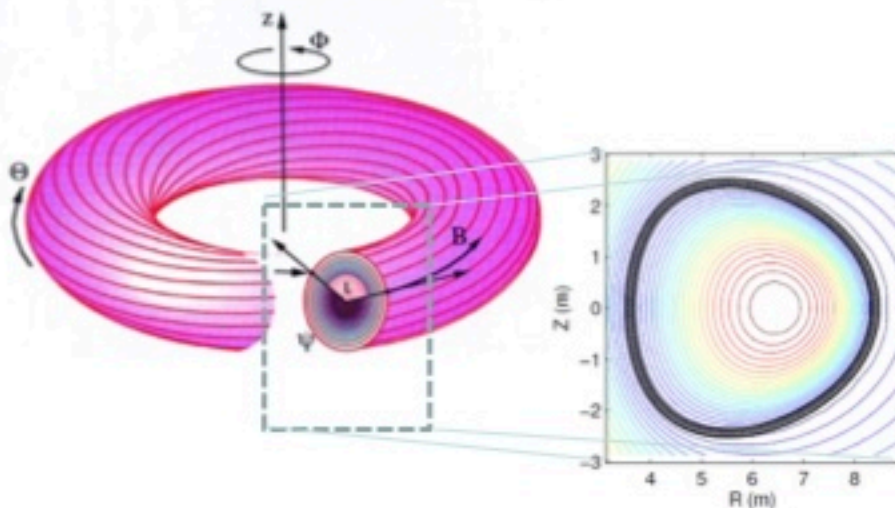
$\nabla \times (\nabla \times \mathbf{A}) = \nabla \times \mathbf{B} = \mu_0 \mathbf{J} \Rightarrow$ nested $A_\phi R$ surfaces require a J_ϕ



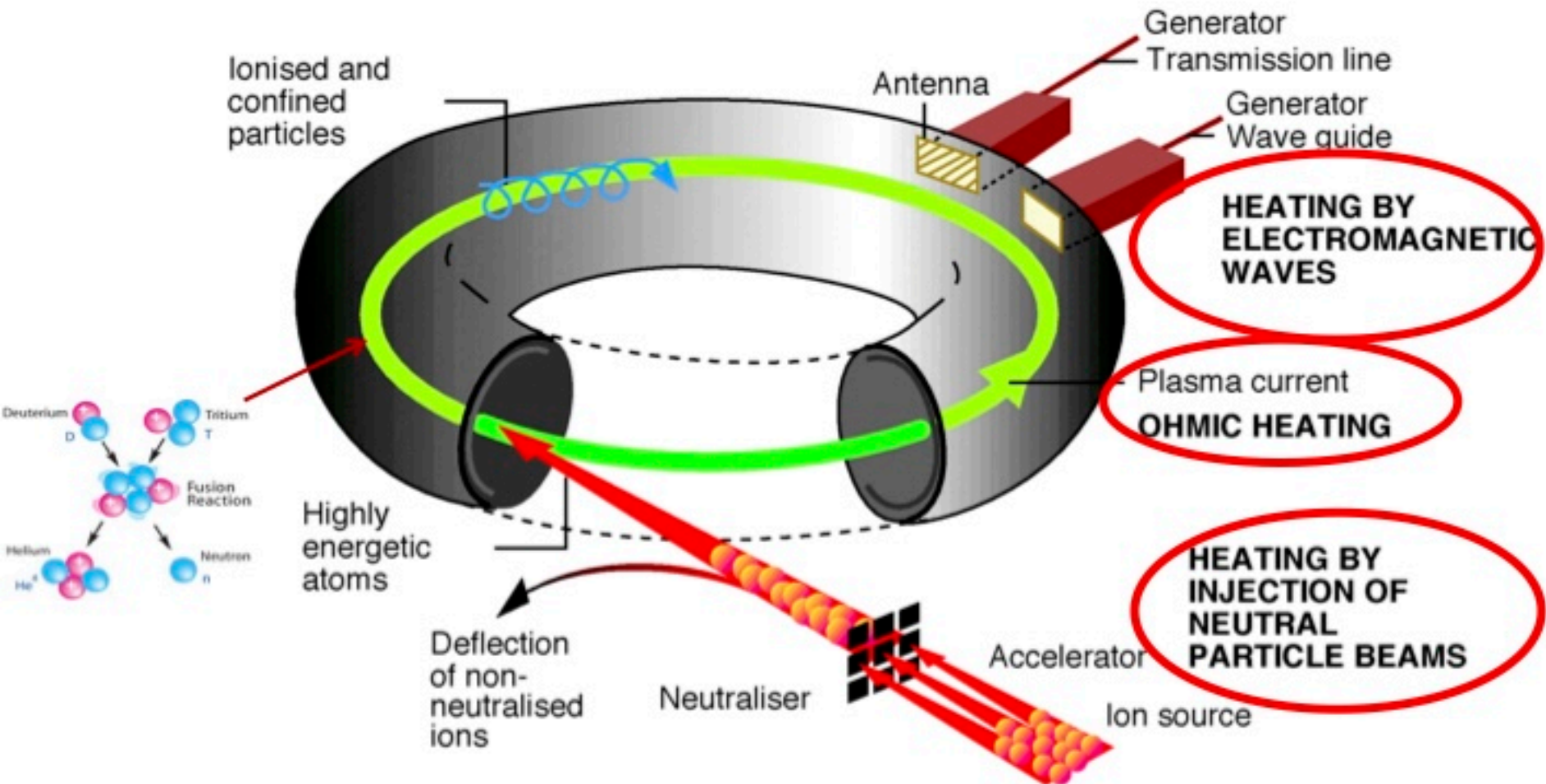
In a tokamak fields lie in flux surfaces



- If magnetic field sufficiently strong ions and electrons bound to field lines
- In a “perfect” tokamak field lines lie in flux surfaces
- Different flux surfaces are ~ thermally insulated
- Flux surfaces support pressure gradient
- Tokamaks maximise core pressure, needed to initiate fusion



How to obtain extreme temperatures?

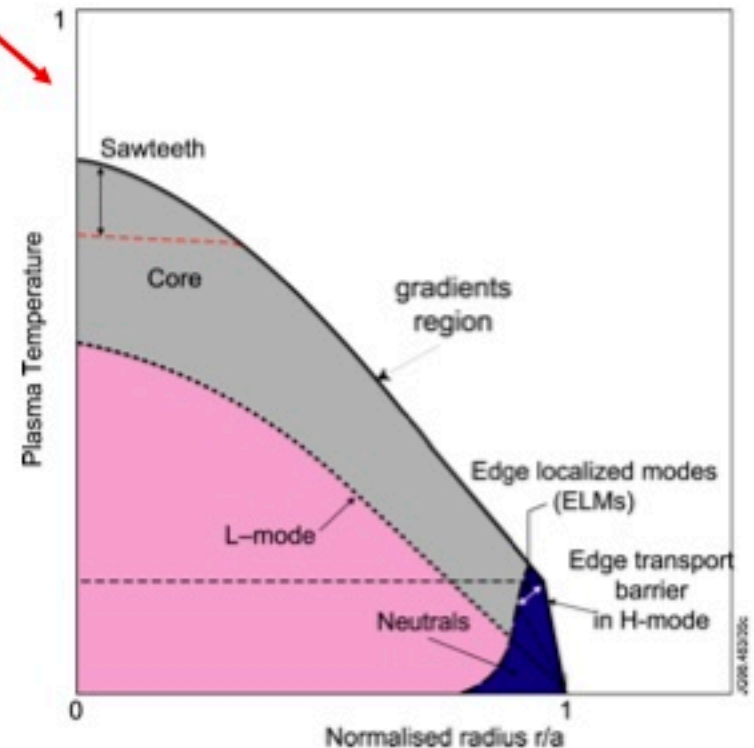
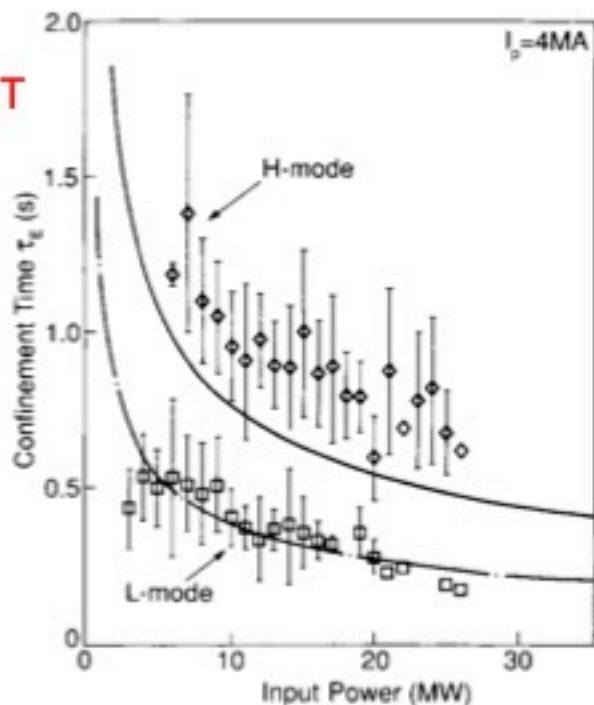


Positive ion beams: $E \sim 100\text{keV}$
Negative ion beams: $E \sim 1\text{MeV}$

Toroidal Plasma Confinement: H-mode

- Plasma energy and particle transport is driven by turbulence
- It is found that the plasma confinement state (τ_E) can bifurcate:
 - two distinct plasma regimes, a low confinement (**L-mode**) and a high confinement (**H-mode**), result
 - this phenomenon has been shown to arise from changes in the plasma flow in a narrow edge region, or **pedestal**

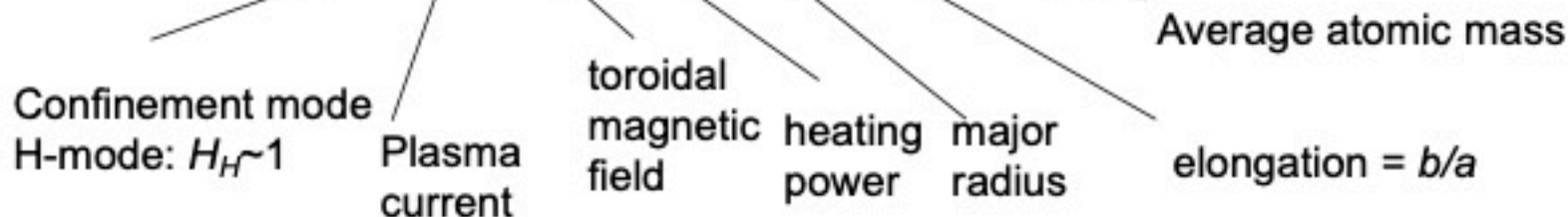
JET



Plasma Confinement: τ_E scaling

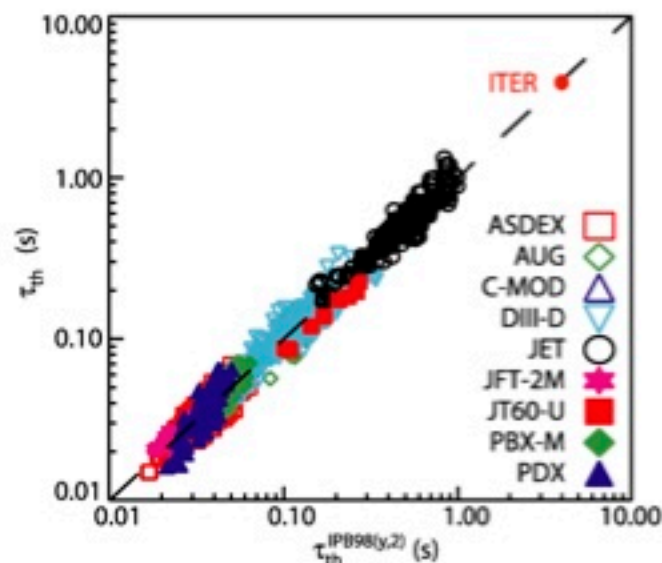
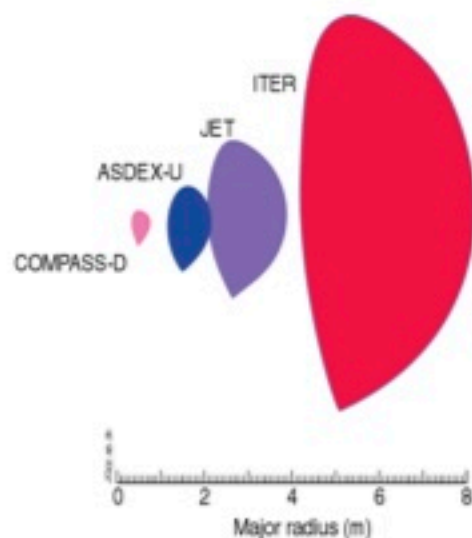
- τ_E difficult to predict quantitatively: Empirical scaling used

$$\tau_E = f(H, I_p, B_0, P_H, \bar{n}, R_0, a, \kappa, A) \propto I_p^{0.93} B_0^{0.15} R_0^{1.39} a^{0.58} \kappa^{0.78} A^{0.19} P_H^{-0.69}$$

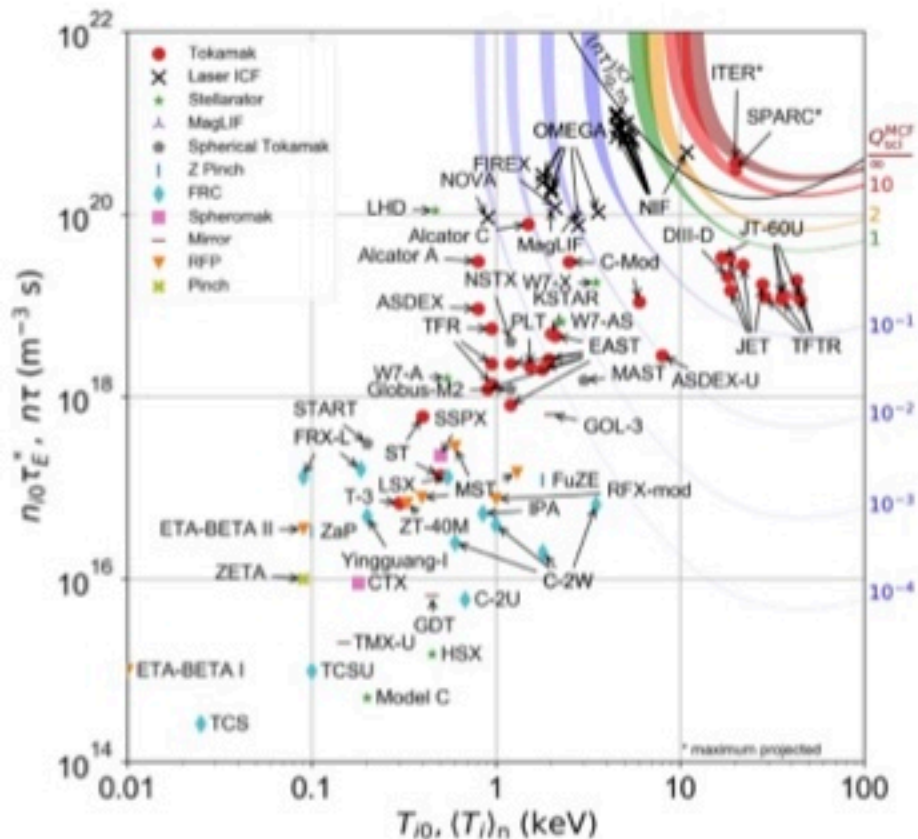


τ_E increases with machine size: bigger is better!

- H-mode** turns out to be robust enough to provide the basis for the ITER design



Energy confinement : big is better



“Breakeven” regime :

$$Q = P_{\text{out}} / P_{\text{heat}} = 1$$

Eg. JET

Q=0.7, 16.1MW fusion



- **“Burning” regime : ITER**



$$Q > 5 \Rightarrow \text{ITER} \quad \geq P_{\text{heat}} \quad P_{\text{out}}$$

- “Ignition” regime, $Q \rightarrow \infty$

[Wurzel and Hu Physics of Plasmas 29, 062103 (2022)]

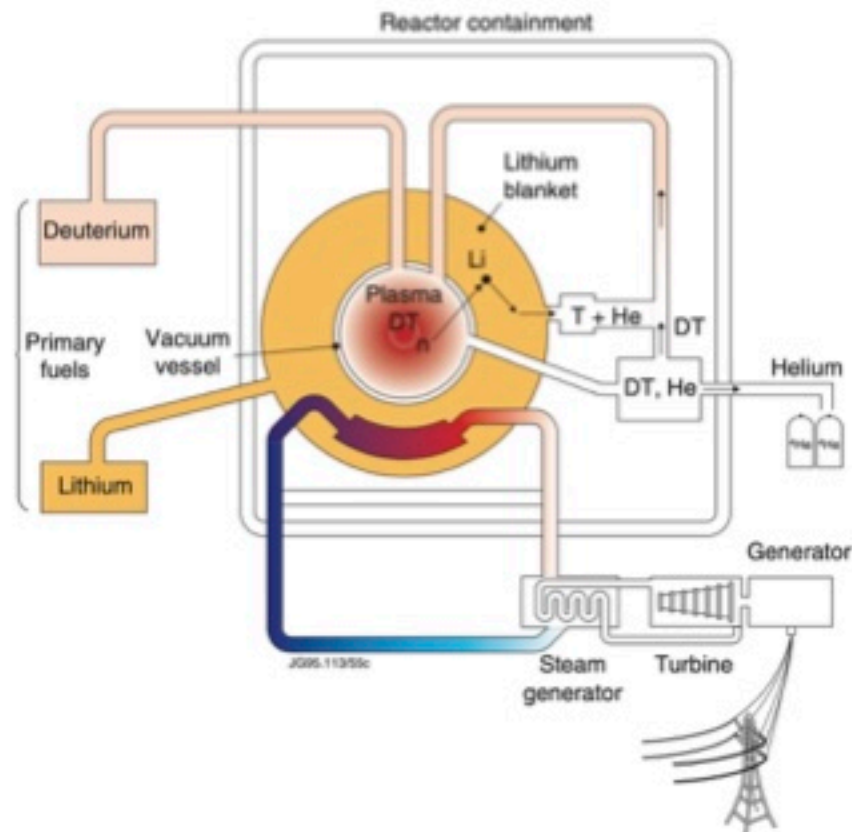
Tokamak Reactor

Designing a tokamak fusion reactor—How does plasma physics fit in?

Cite as: Phys. Plasmas 22, 070901 (2015); <https://doi.org/10.1063/1.4923266>

Submitted: 22 January 2015 • Accepted: 01 May 2015 • Published Online: 01 July 2015

J. P. Freidberg, F. J. Mangiarotti and J. Minervini



Engineering & Nuclear Constraints Informs Physics Requirements

TABLE II. Basic engineering and nuclear physics constraints.

Quantity	Symbol	Limiting value
Electric power output	P_E	1000 MW
Maximum neutron wall loading	P_W	4 MW/m ²
Maximum magnetic field at the coil	$B_{\max}$	13 T
Maximum mechanical stress on the magnet	$\sigma_{\max}$	600 MPa
Maximum superconducting coil current density averaged over the winding pack	$J_{\max}$	20 MA/m ²
Thermal conversion efficiency	η_T	0.4
Maximum RF recirculating power fraction	f_{RP}	0.1
Wall to absorbed RF power conversion efficiency	η_{RF}	0.4
Temperature at $[\langle\sigma v\rangle/T^2]_{\max}$	$\bar{T}$	14 keV
Fast neutron slowing down cross section in <i>Li-7</i>	σ_S	2 barns
Slow neutron breeding cross section in <i>Li-6</i>	σ_B	950 barns at 0.025 eV

TABLE I. Reactor parameters to be determined.

Quantity	Symbol
Minor radius of the plasma	a
Major radius of the plasma	R_0
Elongation	κ
Thickness of the blanket region	b
Thickness of the TF magnets	c
Plasma temperature	T
Plasma density	n
Plasma pressure	p
Energy confinement time	τ_E
Magnetic field at $R = R_0$	B_0
Normalized plasma pressure	β
Plasma current	I
Normalized inverse current	q_*
Bootstrap fraction	f_B

Reactor Geometry

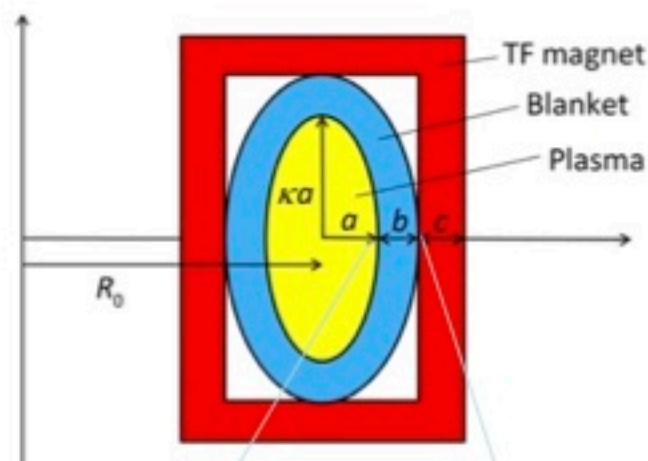
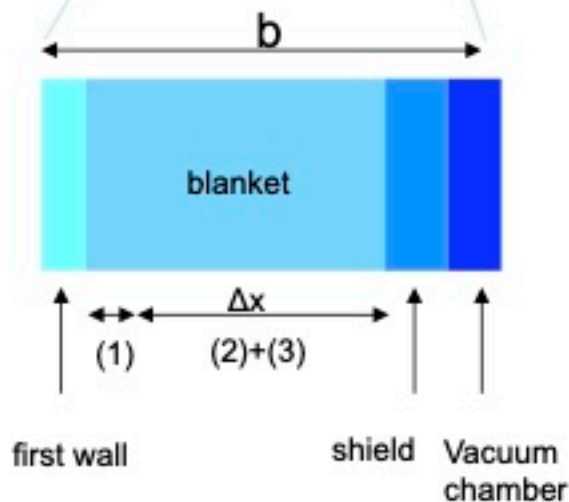


FIG. 1. Simple model for the reactor geometry.



A. Plasma Elongation

- Increasing κ reduces cost and increases β
- Vertically unstable at large kappa

Compromise $\kappa = 1.7$

B. Blanket

- Blanket comprises
 - (1) a narrow region for neutron multiplication,
 - (2) a moderating region,
 - (3) a breeding region to produce T.
- Assume blanket is composed of natural Li
- Δx is determined by distance required for 14MeV neutron to slow down and breed T from Li-6

Yields $\Delta x \approx 0.9\text{m}$, and $b \approx 1.2\text{m}$

Reactor Geometry

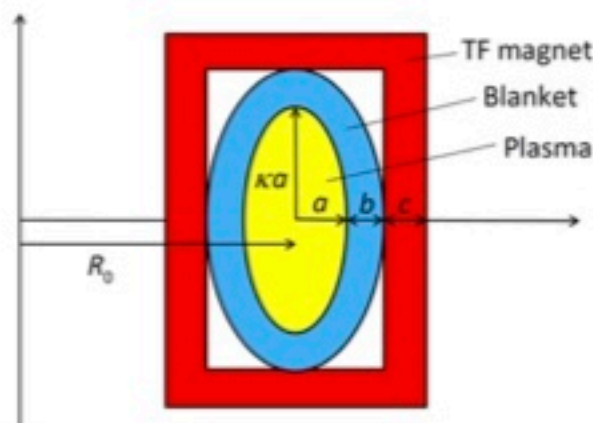


FIG. 1. Simple model for the reactor geometry.

C. Wall Loading Limit

$$P_n = P_W S$$

Total neutron power (MW)
Neutron wall loading limit (MW/m²)

Surface area of plasma : $S \approx 4\pi^2 R_0 a [(1 + \kappa^2)/2]^{1/2}$

- $P_n = \frac{E_n}{E_f} P_f$
- Assume steam cycle with efficiency $\eta_T = 0.4$ and solve for R_0 :

$$R_0 = \left[\frac{1}{4\pi^2} \frac{E_n}{E_f} \frac{P_E}{\eta_T P_W} \left(\frac{2}{1 + \kappa^2} \right)^{1/2} \right] \left(\frac{1}{a} \right) = \frac{7.16}{a} \text{ m.}$$

Conventional aspect ratio $R_0/a \sim 4 \Rightarrow R_0 = 5.34 \text{ m.}$

Reactor Geometry

D. Central field strength B.

$$\tau_E = f(H, I_p, B_0, P_H, \bar{n}, R_0, a, \kappa, A) \propto I_p^{0.93} B_0^{0.15} R_0^{1.39} a^{0.58} \kappa^{0.78} A^{0.19} P_H^{-0.69}$$

- Maximise B. Maximum field that Niobium-Tin superconducting magnets can support is 13T.
- Field at centre of machine is then $B_0=6.8\text{T}$

E. Coil thickness $c=c(a,B_0)$

$$c = c_M + c_J \leftarrow \text{Thickness of superconducting winding pack.}$$

Thickness of structural material needed to support magnet stresses

- Combine force analysis on coils ($J \times B$) and winding pack $\Rightarrow c \sim 1\text{m}$

Plasma Physics Requirements

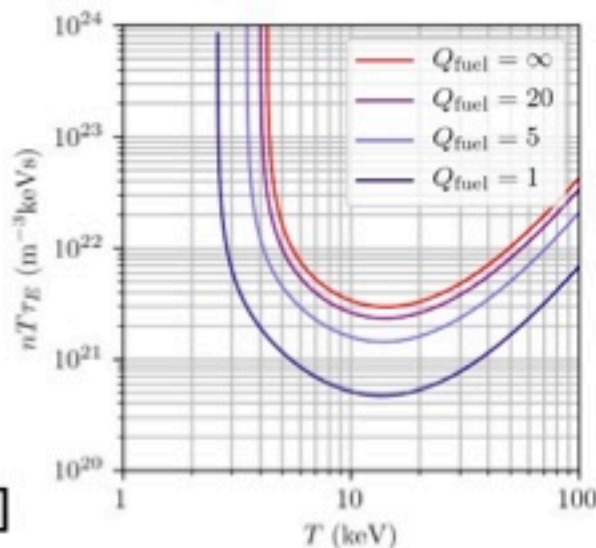
F. Average Plasma Temperature: Ignition condition

- Cross section peaks at $\langle\sigma v\rangle = 70\text{keV}$
- Triple product vs T required to achieve

$$Q_{fuel} = E_f/E_{abs}$$

$$\Rightarrow \bar{T} \sim 14\text{keV}$$

[Wurzel and Hu Physics of Plasmas 29, 062103 (2022)]



G. Average Plasma Pressure

Require P_{fus} produces all P_E at thermal efficiency η_T : $\frac{\eta_T E_F}{16} \int p^2 \frac{\langle\sigma v\rangle}{T^2} d\mathbf{r} = P_E$.

Assuming simple polynomial profiles for pressure, temperature and density (**no H-mode profile!**) gives:

$$\bar{p} = \frac{8.76}{a^{1/2}} \text{ atm.} \approx 7.6 \text{ atm.} \quad \text{Hence,} \quad \beta = \frac{2\mu_0 \bar{p}}{B_0^2} = \frac{1.31}{a^{1/2}(1 - \epsilon_B)^2} \% = 4.13\%$$

Plasma Physics Requirements

H. Average density

$$P \sim 2 \text{ nT} \Rightarrow \bar{n} \approx \bar{p}/(2\bar{T}) = 1.43 \times 10^{20} \text{ m}^{-3}$$

- Number density of air is $2.5 \times 10^{25} \text{ m}^{-3}$
- Number density of water is $3.3 \times 10^{28} \text{ m}^{-3}$
- Number density of diamond $1.76 \times 10^{29} \text{ m}^{-3}$
- Number density of core of Sun $9.5 \times 10^{31} \text{ m}^{-3}$

I. Energy Confinement time

Require that in steady state thermal conduction losses are balanced by alpha particle heating (**ignited plasma**)

$$P_{\alpha} = \frac{3}{2\tau_E} \int p d\mathbf{r} = \frac{3}{2} \frac{V_P \bar{p}}{\tau_E},$$

Solving gives

$$\tau_E = 3\pi^2 R_0 a^2 \kappa \left(\frac{E_F \eta_T}{E_{\alpha} P_E} \right) \bar{p} = 0.81 a^{1/2} \text{ s.}$$

$$\tau_E (\text{ignited}) = 0.94 \text{ s}$$

Plasma Physics Requirements

J. Plasma Current

For ELMy H-modes, empirical scaling

$$\tau_E = 0.145H \frac{I^{0.93} R^{1.39} a^{0.58} \kappa^{0.78} \bar{n}^{0.41} B_0^{0.15} A^{0.19}}{P_\alpha^{0.69}} \text{ s}$$

Assume $H = 1$. Solve τ_E (empirical) = τ_E (ignited)

$$\begin{aligned} I &= \frac{7.98}{H^{1.08}} \frac{\tau_E^{1.08}}{R_0^{1.49} a^{0.62} \kappa^{0.84} \bar{n}^{0.44} B_0^{0.16} A^{0.20}} \left[\left(\frac{E_\alpha}{E_F} \right) \frac{P_E}{\eta_T} \right]^{0.74} \\ &= 12.1 \frac{a^{1.63}}{B_0^{0.16}} \text{ MA.} \end{aligned}$$

For reference values of a and B , $I=14.3\text{MA}$

Yields q_*

$$q_* = \frac{2\pi a^2 B_0}{\mu_0 R_0 I} \left(\frac{1 + \kappa^2}{2} \right) = 0.112 a^{1.37} B_0^{1.16}, \quad q_* = 1.56$$

Plasma Physics Requirements

K. Bootstrap fraction f_{BS}

Steady-state tokamak requires non-inductive drive: $I_p = I_{CD} + I_{BS}$

$$I_{OHMIC} = 0$$

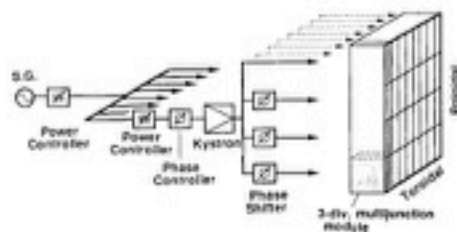
I_{BS} Bootstrap: arises due to the effect of trapped particles and density gradient.

I_{CD} Driven by radio frequency, either lower hybrid, ion cyclotron or electron cyclotron.



Lower Hybrid launcher

ACLATOR CMOD outer wall



Required recirculating power < 0.1 .

Assume coupling RF power is 50% efficient from wall to klystron and 80% from klystron to plasma
 $\Rightarrow I_{CD} = 2.2\text{MA}$.

$I_{BS}=14.3\text{MA}$. Hence $I_{BS}=12.1\text{MA}$ and $f_{BS}= 0.84$.

Can a tokamak plasma meet these requirements?

- Density $n_D(0)$ Limit : Stability to disruption from current-driven modes (Greenwald limit) mostly at plasma edge

$$\bar{n} < \bar{n}_G \equiv \frac{I(MA)}{\pi a^2} \quad \Rightarrow 1.43 < 2.56 \quad \checkmark$$

- Beta Limit : Stability to disruption from pressure-gradient-driven modes (Troyon limit)

$$\beta < \beta_T \equiv \beta_N \frac{I}{aB_0} \quad \Rightarrow 4.13 < 4.39 \quad \checkmark$$

- Kink safety limit: Stability to disruption from current-gradient-driven modes in plasma core

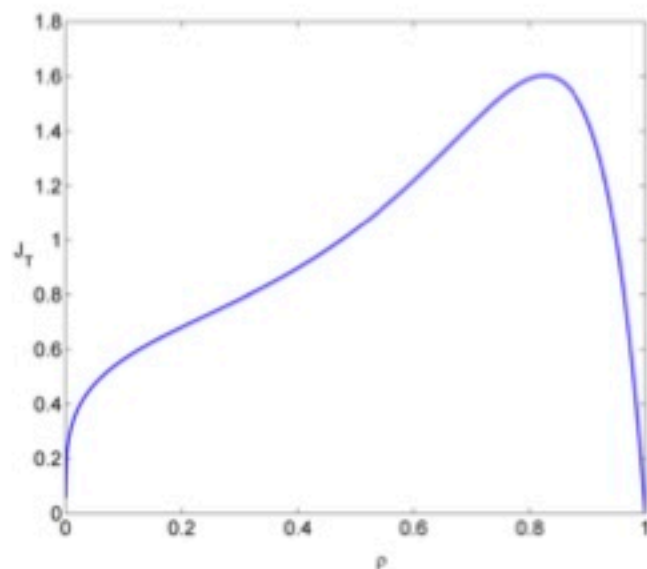
$$q_* > q_K \approx 2 \quad \Rightarrow 1.56 > 2 \quad \times$$

Can a tokamak plasma meet these requirements?

- Bootstrap fraction: Theory can compute the local “neoclassical bootstrap current”

$$J_B(\rho) = -2.44 \left(\frac{r}{R_0} \right)^{1/2} \left(\frac{p}{B_\theta} \right) \left(\frac{1}{n} \frac{\partial n}{\partial r} + 0.055 \frac{1}{T} \frac{\partial T}{\partial r} \right),$$

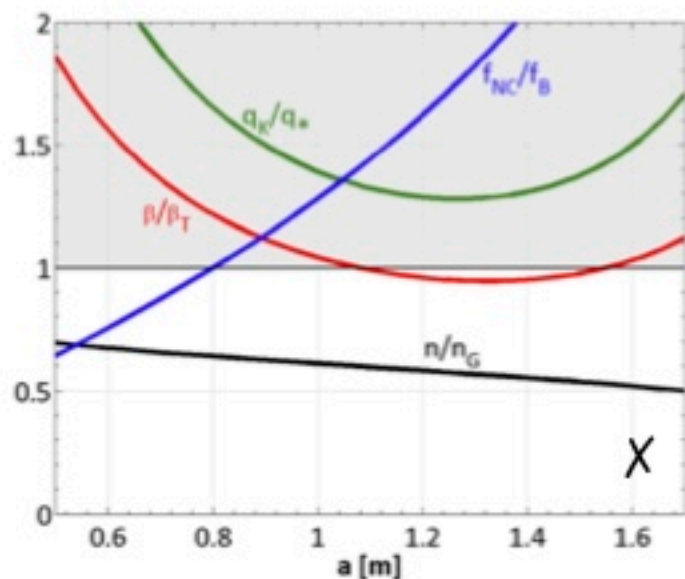
B_θ profile a function of J_T (or q) profile.



$$f_{NC} = \frac{I_B}{I} = \frac{\int J_B(\rho) d\tau}{I} > f_{BS}$$

$$\Rightarrow 0.44 > 0.84 \quad \times$$

Can we find an operating regime?

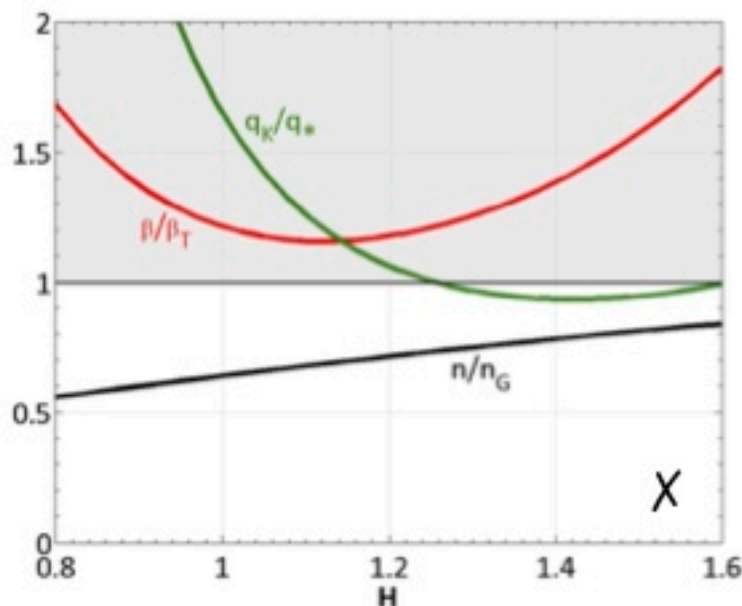


Allow a to vary

Operating region is unshaded region

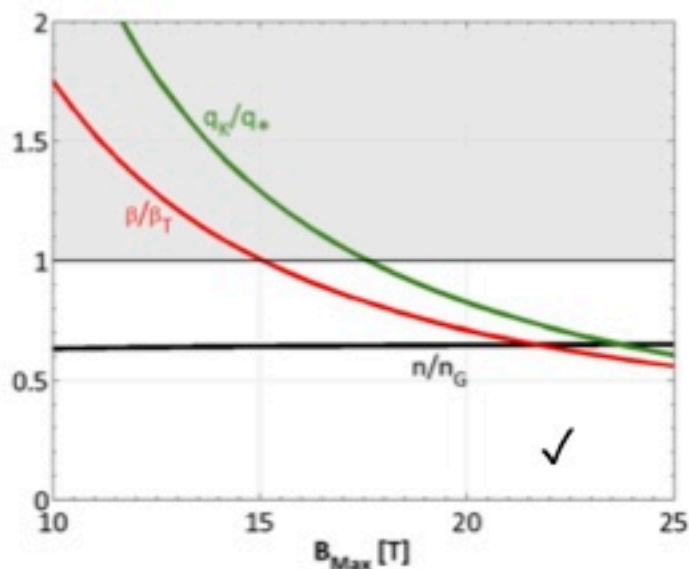
Successful operation requires an operating point (vertical line) with all conditions satisfied, i.e.

$$\frac{\bar{n}}{\bar{n}_G} < 1, \quad \frac{\beta}{\beta_T} < 1, \quad \frac{q_K}{q_*} < 1, \quad \frac{f_{NC}}{f_{BS}} < 1$$



Choose a such that $f_B = f_{NC}$
Vary H

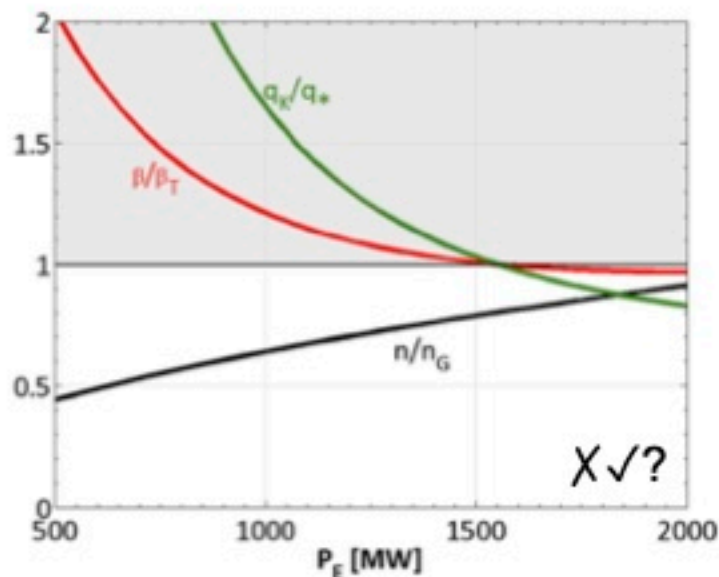
Can we find an operating regime?



Choose a such that $f_B = f_{\text{NC}}$
Increase B_{max}

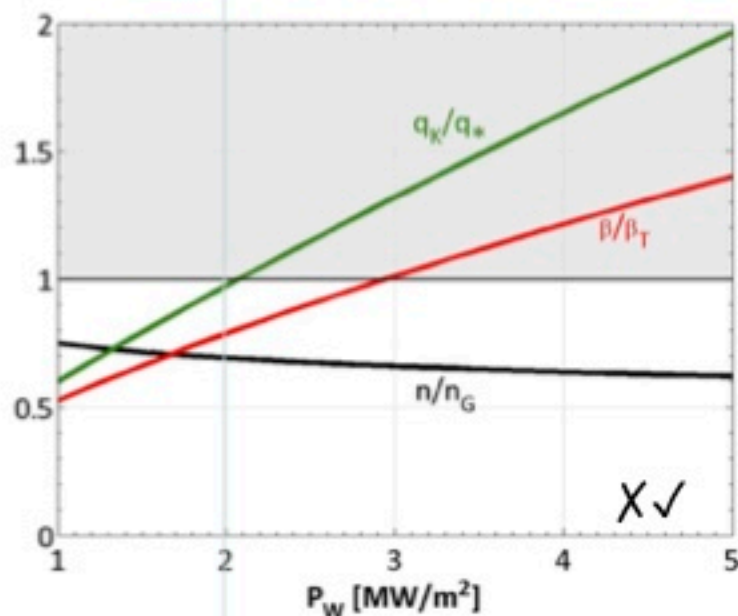
Aim of SPARC (MIT): Can 20T field coils be built and demonstrated in a tokamak?

Attracted more than USD \$2bn in investment



Choose a such that $f_B = f_{\text{NC}}$
Increase electric power PE

Can we find an operating regime?



Choose a such that $f_B = f_{NC}$

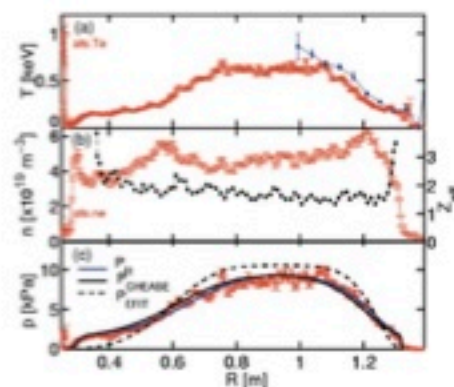
We have assumed a wall loading constraint of 4MW

Relax wall loading constraint

Machine has 10.2m major radius !

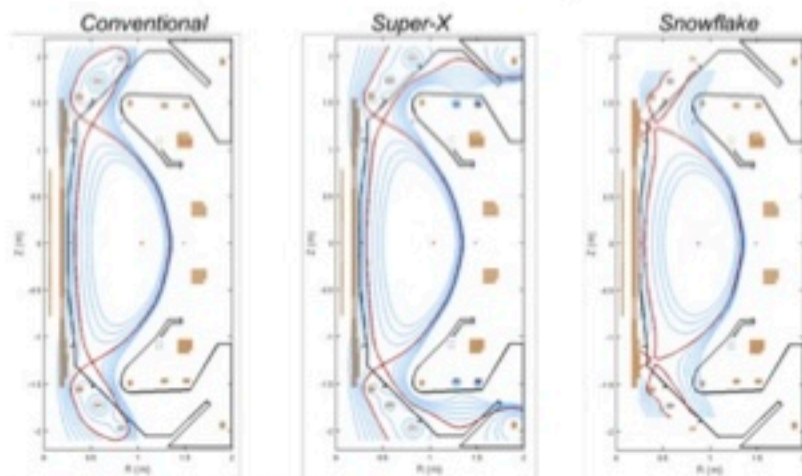
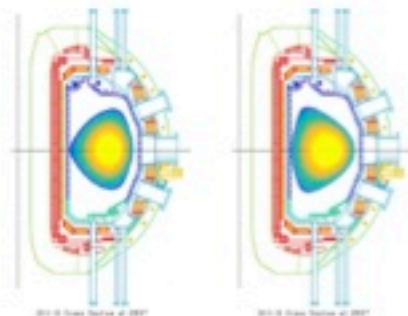
What's missing (from a tokamak study)?

- Mostly a 0D analysis
 - Plasma profiles assumed and integrated.
 - Tokamak plasmas can support "H mode"
 - Confinement and stability a function of current and pressure profiles
- Plasma shaping
 - Cross section is not elliptical
 - Diverters
 - Negative triangularity?



MAST plasmas

DIII-D plasmas



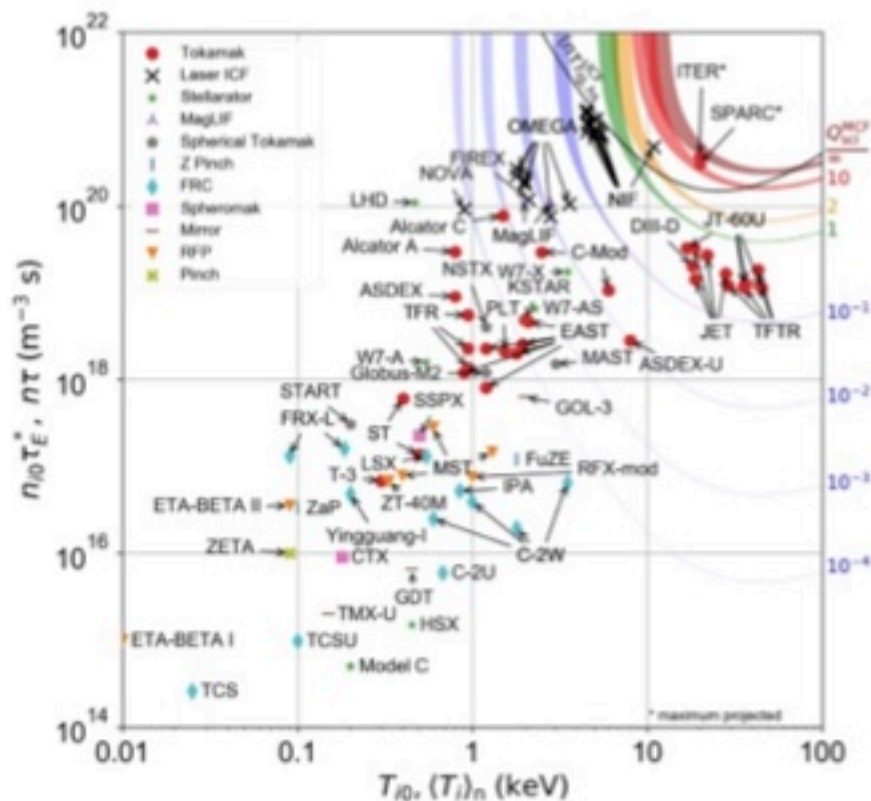
MAST-U plasmas

- Aspect ratio : Spherical or Compact Tokamak. :
 H. Bruhns *et al* 1987 *Nucl. Fusion* **27** 2178, Submitted 21 July 1987
 G.A. Collins *et al* 1988 *Nucl. Fusion* **28** 255. Submitted 20 July 1987

The Next Step - ITER

Parameter	Power Plant 101	ITER
Major radius, R_0 (m)	5.34	6.2
Minor radius, a (m)	1.34	2.0
Elongation, κ	1.7	1.7
Toroidal field at R_0 , B_T (T)	6.83	5.3
Plasma current, I_p (MA)	14.3	15
Edge safety factor, q_{95}	1.68	3.0
Confinement enhancement, $H_{H98}(y,2)$	1.0	1.0
Normalised beta, β_N	2.6	1.8
Average electron density, $\langle n_e \rangle$ (10^{19}m^{-3})	14.3	10.1
Fraction of Greenwald limit, $\langle n_e \rangle / n_{GW}$	0.56	0.85
Average ion temperature, $\langle T_i \rangle$ (keV)	14.0	8.0
Average electron temperature, $\langle T_e \rangle$ (keV)	14.0	8.8
Neutral beam power, P_{NB} (MW)	?	33
RF power, P_{RF} (MW)	100	7
Fusion power, P_{fusion} (MW)	2500	500
Fusion gain, $Q = P_{fusion} / (P_{NB} + P_{RF})$	25	10
Non inductive current fraction, I_{NI} / I_p (%)	84	28
Burn time (s)	∞	400

Why ITER ?



1) Achieve a deuterium-tritium plasma in which the fusion conditions are sustained mostly by internal fusion heating. **Achieve a burning plasma.**

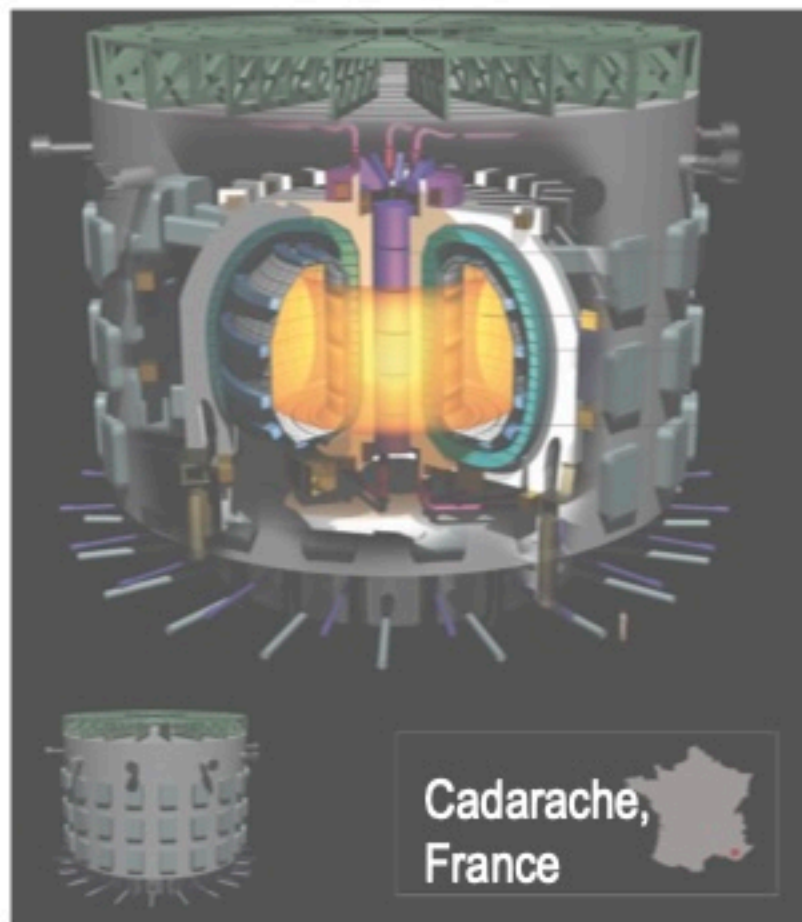
2) Generate 500 MW of fusion power for long pulses

3) Contribute to the demonstration of the integrated operation of technologies for a fusion power plant

4) Test tritium breeding

5) Demonstrate the safety characteristics of a fusion device

ITER



Construction +10 year
operation cost ~\$40 billion ?

- Fusion power = 500MW
- Power Gain (Q) > 10
- Temperature ~ 100 million °C
- Growing Consortium



- Collaboration agreements with
 - International Atomic Energy Agency
 - Principality of Monaco 16/01/2008
 - CERN – 10/03/2008
 - **Australia 30/09/2016**
 - Kazakhstan 11/06/2017

ITER facilities more than 77% completed

17 March 2023

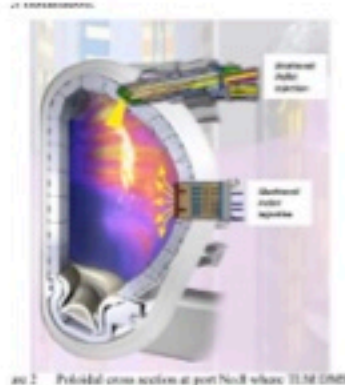


(Some) Tokamak Science Challenges

Disruption Avoidance / Mitigation

- Some plasma configurations unstable to low (m,n) modes
- Entire stored energy released on resistive diffusive time scale
- Unmitigated major disruptions at high stored energies can cause masses of the order of kilograms to be melted.

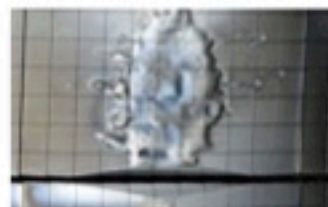
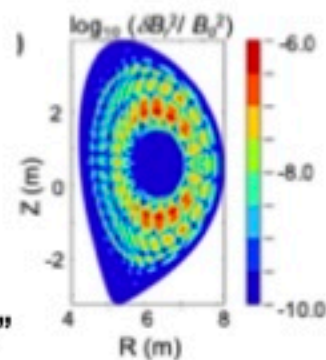
[M. Lehnen et al. / Journal of Nuclear Materials 463 (2015) 39–48]



Energetic particle confinement:

Fusion alphas: As 3.5MeV alphas slow they can drive wave modes of the plasma, inhibiting ignition and damaging the wall

Runaway electrons. Mostly current carried by electron flow “thermal” electrons. If Electric field exceeds Dreicer field a supra-thermal population can exist.



Materials (common to all D-T fusion)

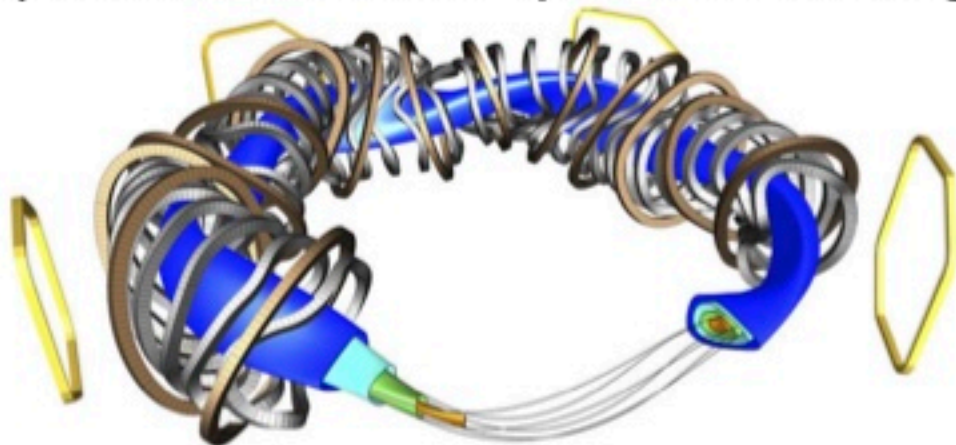
Life-fluence of neutrons:

current experiments $\sim 10^{-9}$ dpa, ITER ~ 1 dpa, DEMO ~ 100 dpa

Stellarator Concept

- Tokamak: field line helicity created by internal plasma current
- Stellarator: field line helicity created by twist of field coils
 - ✓ Eliminates disruptive current-driven instabilities,
 - × field coils are an engineering challenge,
 - × flux surfaces aren't guaranteed.

e.g. W7-X : a first-generation computationally optimised stellarator
(low neoclassical transport, low current, good stability, and “good” flux surfaces)



- € 1 billion experiment. Construction started in ~2000.
- Aim: evaluate fusion reactor using stellarator technology.
- Opened by Chancellor Merkel in February 2016

Hidden Symmetries and Fusion Energy

Simons Foundation Collaboration on Hidden Symmetries and Fusion Energy, **2018 - 2025** <https://hiddensymmetries.princeton.edu/>

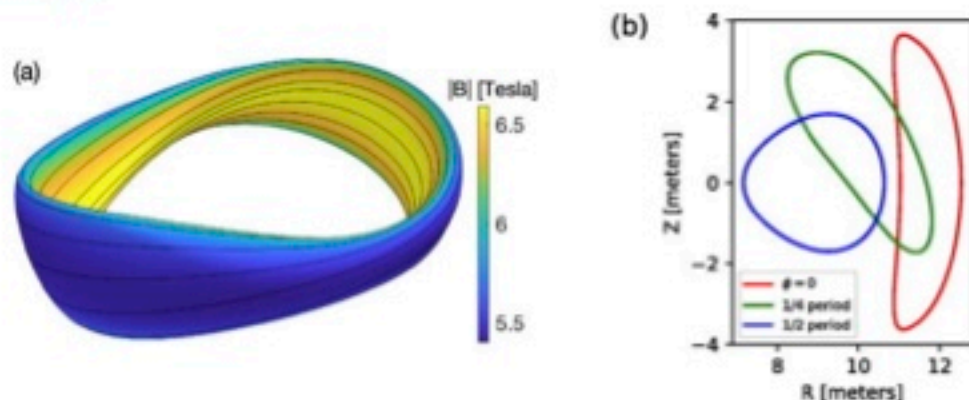
Objective: To create and exploit an effective mathematical and computational framework for the design of stellarators with hidden symmetries. (*good flux surfaces, good particle confinement, high bootstrap fraction*)

Deliverables: Optimum design principles of a stellarator, a modern optimization code (SIMSOPT, SIMonS OPTimization code) that can exploit the full power of petascale and exascale computers, and designs of next-generation stellarator experiments

<https://github.com/hiddenSymmetries/simsopt>

Physics of Plasmas 29, 082501 (2022)

Optimized quasi-axisymmetric configuration with energetic particle confinement, self-consistent bootstrap



Characteristics of fusion physics

- Multi-scale:
 - Spatial: electron gyro-radius $5 \times 10^{-6} \text{ m} \rightarrow 10 \text{ m}$ (device scale)
 - Temporal: electron gyration $4 \times 10^{-10} \text{ s} \rightarrow \tau_E \sim 3 \text{ seconds}$
 - Generates many expansion parameters for asymptotic analysis
- Multi-dimensional:
 - 0-1D: energy & particle confinement scaling
 - 2D: Tokamak equilibrium model
 - 3D: Tokamak stability, stellarator fields
 - 4D: Transport, diffusion
 - 6D: Phase space (3D spatial, 2D velocity, time)
- Strong nonlinearity

Some Mathematics Challenges

- Force Balance
 - Solutions of $\mathbf{J} \times \mathbf{B} = \nabla P$ (+ anisotropy and flow) in 2D [Hole, Qu]
 - Existence of $\mathbf{J} \times \mathbf{B} = \nabla P$ in 3D: Grad Conjecture
 - Multiple Region relaxed MHD, SPEC [Dewar, Hudson, Hole]
 - Discontinuous Galerkin MRxMHD [R. Tang]
 - Topological Data Analysis of field lines [Bohr, Robins]
- Stability
 - Stability of tokamaks with flow / anisotropy [Doak]
 - Impact of islands and chaos on MHD modes [Thomas]
 - Stellarator Stability [Kumar]
 - Wave-particle and nonlinear evolution [Hezaveh]
- Time-dependent problems
 - Structure preserving discretisations [Jeyakumar]
 - Anisotropic diffusion equation [Muir]
- Computational Approaches
 - Data Science and AI [Gretton]

What is B for a stationary tokamak plasma?

- (1) $\mathbf{J} \times \mathbf{B} = \nabla p \Rightarrow \begin{cases} \mathbf{B} \cdot \nabla p = 0 \Rightarrow \text{No pressure gradient along } \mathbf{B} \\ \mathbf{J} \cdot \nabla p = 0 \Rightarrow \text{Current flows within surfaces.} \end{cases}$
- (2) $\nabla \times \mathbf{B} = \mu_0 \mathbf{J}$
- (3) $\nabla \cdot \mathbf{B} = 0$

Introduce poloidal magnetic flux function $\psi(R, Z)$ and co-ord. system (R, ϕ, z) . In axisymmetry Eq. (1), (2) become **Grad-Shafranov equation**:

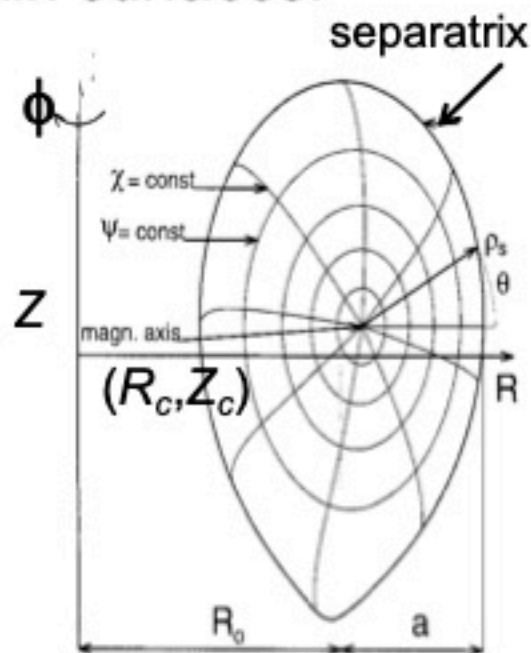
$$\nabla \cdot \frac{1}{R^2} \nabla \psi = -\frac{\mu_0 J_\phi}{R} = -\mu_0 p'(\psi) - \frac{\mu_0^2}{R^2} f(\psi) f'(\psi)$$

second order PDE for field and currents.

With $f(\psi)$ a toroidal flux function
 $f(\psi) = R B_\phi(\psi, R) / \mu_0$

- To solve: prescribe $p'(\psi)$, $f(\psi) f'(\psi)$ and boundary
- Solve numerically by current-field iteration:

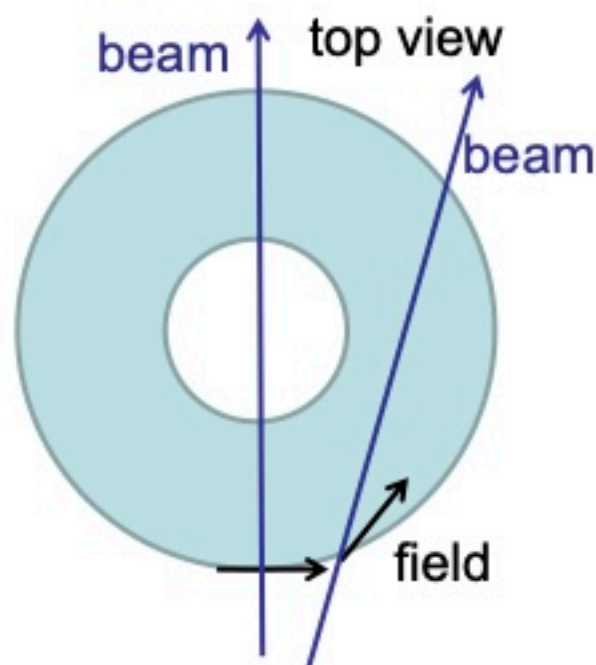
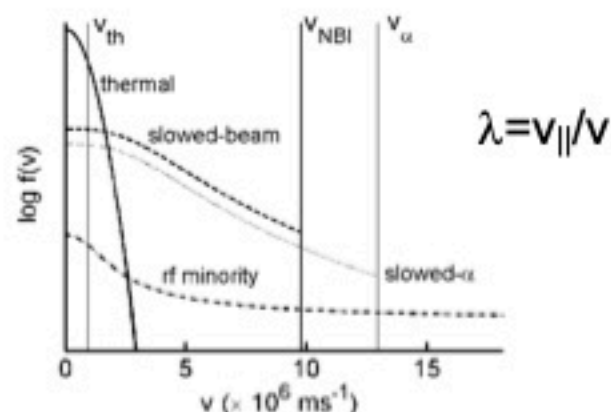
compute $J_\phi \rightarrow$ solve for $\psi(R, Z)$



“MHD with anisotropy in velocity, pressure”

- Pressure different parallel and perpendicular to field due mainly to *directed* neutral beam injection

Illustrative 1D slice



$$n = \int_0^\infty \int_{-1}^1 \hat{f}(E, \lambda) d\lambda dE$$

$$nu_{\parallel} = \int_0^\infty \int_{-1}^1 v_{\parallel} \hat{f}(E, \lambda) d\lambda dE$$

$$p_{\parallel} = m \int_0^\infty \int_{-1}^1 (v_{\parallel} - u_{\parallel})^2 \hat{f}(E, \lambda) d\lambda dE$$

$$p_{\perp} = \frac{m}{2} \int_0^\infty \int_{-1}^1 v_{\perp}^2 \hat{f}(E, \lambda) d\lambda dE.$$



Generalised Grad-Shafranov equation with more constraints, PDE can be elliptic, hyperbolic or parabolic

Existence in 3D: Grad's Conjecture

- [Harold Grad, The Physics of Fluids](#) **10**, 137 (1967); doi: 10.1063/1.1761965
- Restated by P. Constantin *J. Plasma Phys.* (2021), vol. 87, 905870111

Let $T \subset \mathbb{R}^3$ be a domain with smooth boundary. The three-dimensional magneto hydrostatic (MHS) equations on T read

$$J \times B = \nabla P + f, \quad \text{in } T, \quad (1.1)$$

$$\nabla \cdot B = 0, \quad \text{in } T, \quad (1.2)$$

$$B \cdot \hat{n} = 0, \quad \text{on } \partial T, \quad (1.3)$$

where $J = \nabla \times B$ is the current, f is an external force and P is the pressure. The solution

Problem. Given a toroidal domain T , construct a function $\psi : T \rightarrow \mathbb{R}$ with nested flux surfaces and a divergence-free vector field ξ which does not generate an isometry of $\mathbb{R}^3$ and is tangent to ∂T , so that (1.13), (1.9), the nonlinear constraints (1.16), (1.17) and $\xi \cdot \nabla \psi = 0$ all hold.

Do smooth solutions for ψ, ξ exist?

Grad's conjecture: The only smooth solutions to (1.1)-(1.3) possessing a “good” flux function have a Euclidean symmetry.

In general 3D (toroidal) MHD fields are chaotic

- Field lines can be described as a $1\frac{1}{2}$ DOF Hamiltonian

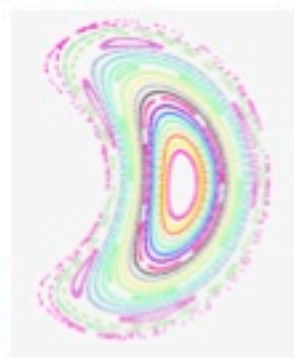
$$\frac{d\theta}{d\zeta} = \frac{\mathbf{B} \cdot \nabla \theta}{\mathbf{B} \cdot \nabla \zeta} = \frac{\partial \Psi}{\partial \Phi}, \quad \frac{d\Phi}{d\zeta} = \frac{\mathbf{B} \cdot \nabla \Phi}{\mathbf{B} \cdot \nabla \zeta} = -\frac{\partial \Psi}{\partial \theta}$$

$$H = \Psi(\Phi, \theta, \zeta), \quad t = \zeta \\ p = \Phi, \quad q = \theta$$

- If axisymmetric, Hamiltonian is *autonomous* (ie. $\Psi(\Phi, \theta, \zeta) \rightarrow \Psi(\Phi, \theta)$)

ι = irrational: $\mathbf{B}$ ergodically passes through all points in magnetic surface.

ι = rational (m/n): $\mathbf{B}$ lines close on each other.



Eg. $\mathbf{B}$ Poincaré sections in H-1, courtesy S. Kumar

- If non axis-symmetric, Hamiltonian is *non-autonomous* $\Psi(\Phi, \theta, \zeta)$ and the field is in general *non-integrable*.
 - Magnetic islands form at rational $\iota(\Phi)$, in which field is stochastic or chaotic, ergodically filling the island volume.
 - $\mathbf{B} \cdot \nabla p = 0 \therefore$ confinement lost in these islands.

Some sufficiently irrational magnetic flux surfaces survive 3D perturbation

- Kolmogorov Arnold Moser (KAM) Theory (c. 1962)
 - Perturbs an integrable Hamiltonian ψ_p within a torus (flux surface) by a periodic functional perturbation ψ_{p1} :

$$\psi_p = \psi_{p0} + \varepsilon \psi_{p1}$$

- **KAM theory** : if flux surface are sufficiently far from resonance (q sufficiently irrational), **some flux surfaces survive for $\varepsilon < \varepsilon_c$**

Maximising number of good flux surfaces is the topic of advanced stellarator design S. R. Hudson *et al* Phys. Rev. Lett. 89, 275003, 2002

- Bruno and Laurence (c. 1996, Comm. Pure Appl. Maths, XLIX, 717-764,)
Derived existence theorems for sharp boundary solutions for tori for small departure from axisymmetry.

⇒ **stepped pressure profile solutions can exist.**

Generalised Taylor Relaxation:

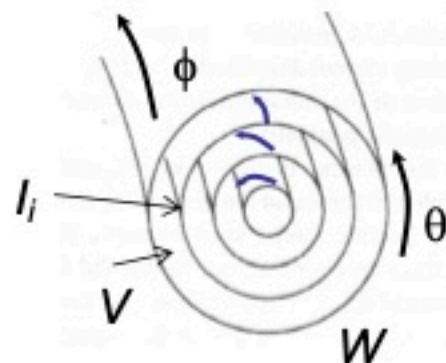
Multiple Relaxed Region MHD (MRXMHD)

R. L. Dewar

- Assume each invariant tori I_i act as ideal MHD barriers to relaxation, so that Taylor constraints are localized to subregions.

New system comprises:

- N plasma regions R_i in relaxed states.
- Regions separated by ideal MHD barrier I_i .
- Enclosed by a vacuum region R_V ,
- Encased in a perfectly conducting wall W



$$W_i = \int_{R_i} \left(\frac{B_i^2}{2\mu_0} + \frac{P_i}{\gamma - 1} \right) d\tau^3$$

$$H_i = \int_V (\mathbf{A}_i \cdot \mathbf{B}_i) d\tau^3$$

Seek minimum energy state:

$$F = \sum_{i=1}^N (W_i - \mu_i H_i / 2)$$

$$P_i : \quad \nabla \times \mathbf{B} = \mu_i \mathbf{B}$$

$$P_i = \text{constant}$$

$$I_i : \quad \mathbf{B} \cdot \mathbf{n} = 0$$

$$[[P_i + B^2 / (2\mu_0)]] = 0$$

$$V : \quad \nabla \times \mathbf{B} = 0$$

$$\nabla \cdot \mathbf{B} = 0$$

$$W : \quad \mathbf{B} \cdot \mathbf{n} = 0$$

Stepped Pressure Equilibrium Code, SPEC

[Hudson et al Phys. Plasmas 19, 112502 (2012)]

Hudson

Vector potential is discretised using mixed Fourier & finite elements

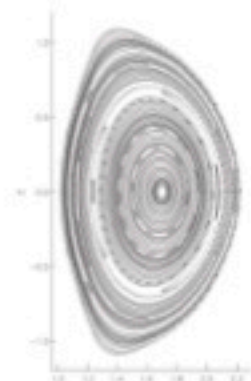
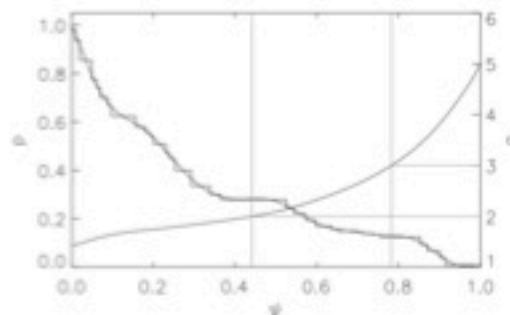
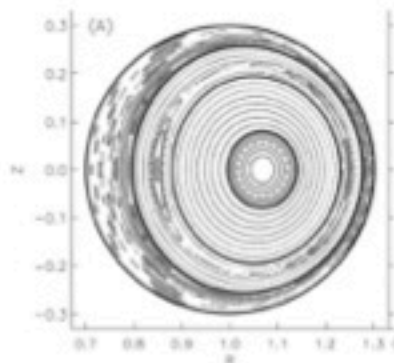
- Coordinates (s, ϑ, ζ) , field $\mathbf{B} = \nabla \times \mathbf{A}$
- Fourier Interface geometry $R_i = \sum_{l,m,n} R_{lmn} \cos(m\vartheta - n\zeta)$, $Z_i = \sum_{l,m,n} Z_{lmn} \sin(m\vartheta - n\zeta)$
- Fourier: $A_\vartheta = \sum_{m,n} \alpha(s) \cos(m\vartheta - n\zeta)$ • Finite-element: $a_\vartheta(s) = \sum_i a_{\vartheta,i}(s) \varphi(s)$

& inserted into constrained-energy functional $F = \sum_{l=1}^N (W_l - \mu_l H_l / 2)$

- Field in each annulus computed independently, distributed across multiple cpu's

Force balance solved using multi-dimensional Newton method

- Interface geometry adjusted to satisfy force balance $\mathbf{F}[\xi] = \{ \llbracket p + B^2 / 2 \rrbracket_{m,n} \} = 0$

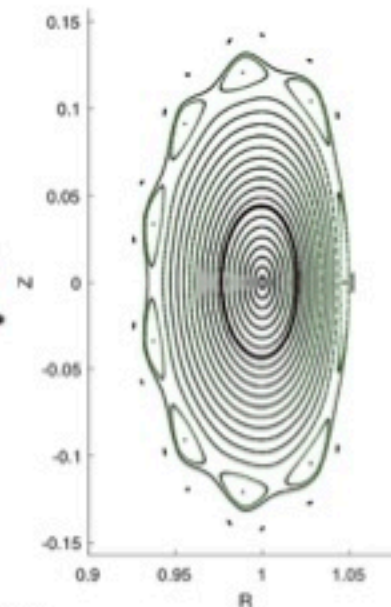
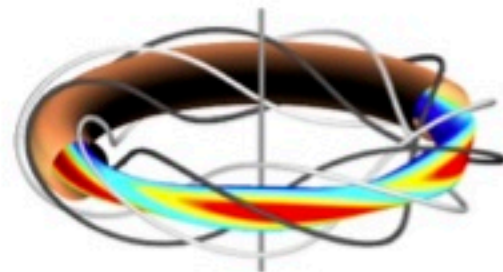


Free-Boundary SPEC, Zernike basis

[Hudson et al Plasma Phys. Control. Fusion 62 (2020) 084002]

SPEC extended to handle free-boundary

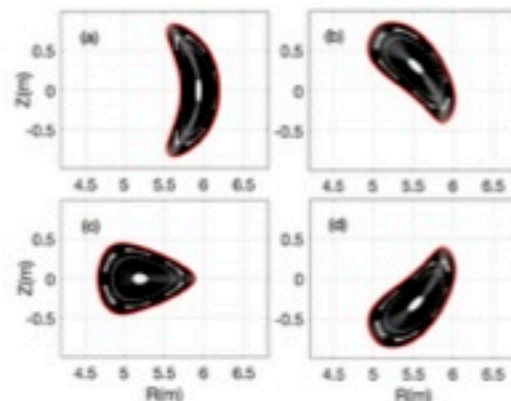
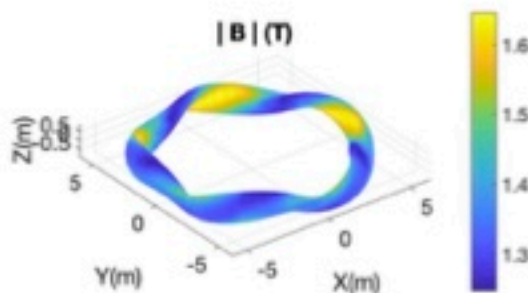
- Vacuum field computed in $R_v \in R_D \setminus R_P$, with D 'computational boundary',
- Virtual-casing principle is used iteratively to compute the normal field on D.



Zernike radial basis function

[Z S Qu, D Pfefferle et al 2020 Plasma Phys. Control. Fusion 62 124004]

- Improved choice for reference axis to prevent surfaces overlapping
- Employ Zernike radial basis functions near axis
- Accelerated code by $O(1000)$.



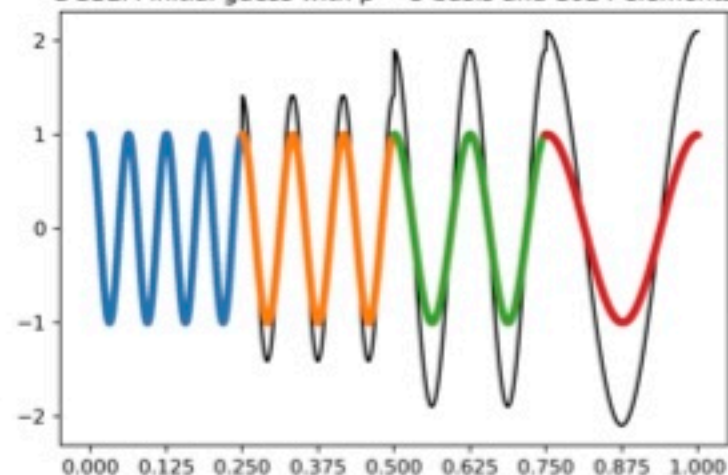
W7-X OP1.1
boundary

Discontinuous Galerkin approach

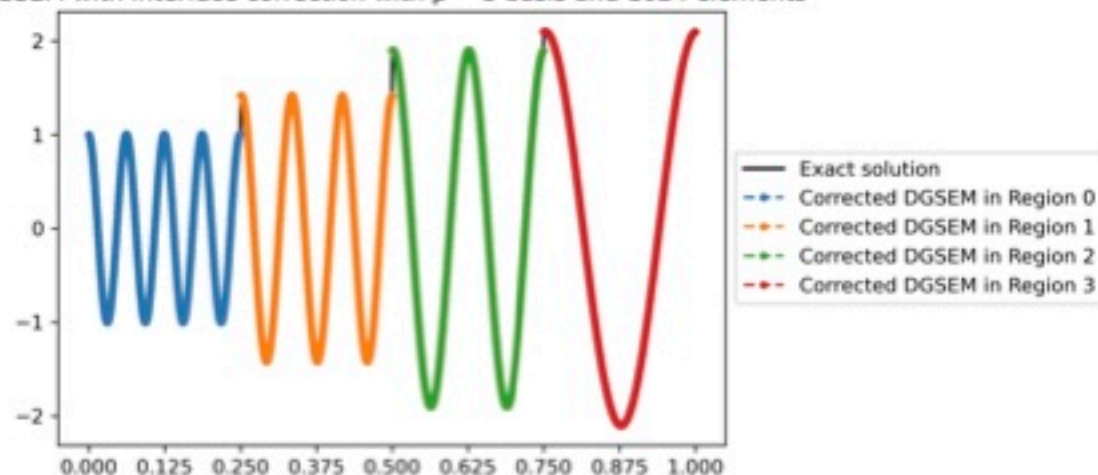
Tang, Duru

- Attempt to develop a more capable and robust numerical scheme for the MRxMHD model & improve the ability of the current SPEC code to handle complex geometry.
- 1D with jumps in p , B

DGSEM initial guess with $p = 5$ basis and 1024 elements



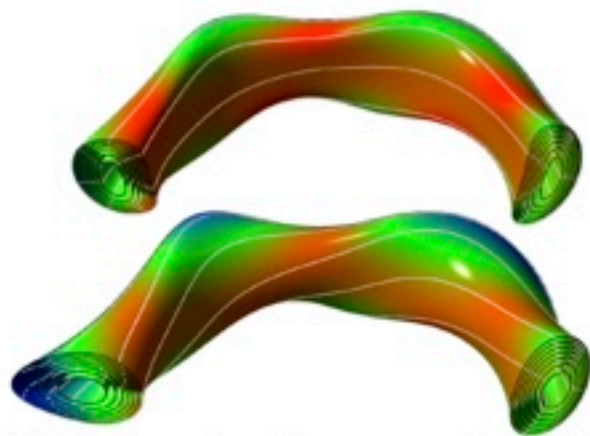
DGSEM with interface correction with $p = 5$ basis and 1024 elements



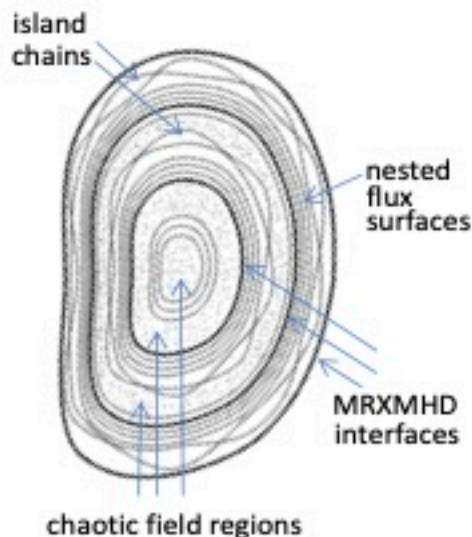
	Exact [$p + 1/2 B^2$]	UnCorrected	Corrected
Interface 1	0.0	-0.5	-0.00038976952476421634
Interface 2	0.0	-0.8	4.117509425860533e-05
Interface 3	0.0	-0.4	1.0349951263310952e-05

What is the “standard” physics approach?

- Current 3D MHD solvers built on premise that volume is foliated with toroidal magnetic flux surfaces (eg. VMEC¹), &/or adapts magnetic grid to try to compensate (PIES²)
- Can not rigorously solve ideal MHD – error usually manifest as a lack of convergence^{3,4}.



[CTH stellarator, Hanson et al, IAEA 2012]



¹ S. P. Hirshman and Whitson, Phys. Fluids **26**, 3553 1983.

² A. H. Reiman and H. S. Greenside, J. Comput. Phys. **75**, 423 1988.

³ H. J. Gardner and D. B. Blackwell, Nucl. Fusion **32**, 2009 1992.

⁴ S.R. Hudson, M.J. Hole and R.L. Dewar, Phys. Plasmas **14**, 052505 (2007)

Field line classification with persistent homology

Bohlson

Question: Can we determine the class of a field line (stochastic, KAM torus, island chain, etc.) from only the Poincare section automatically?

Answer: Yes, with persistent Homology



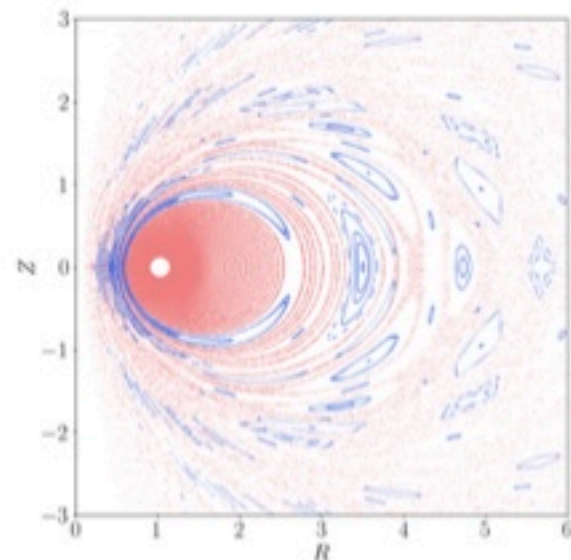
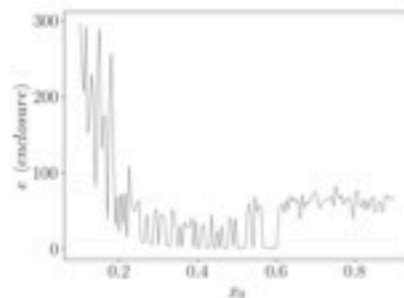
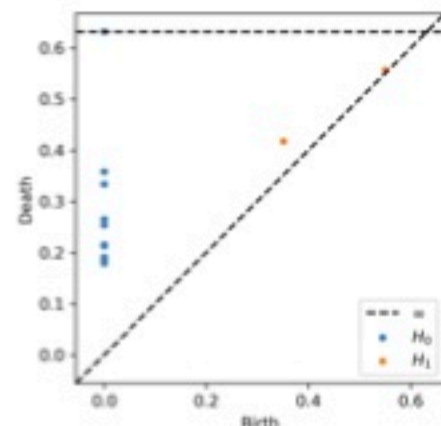
Form sequence of simplicial complexes by progressively connecting points which are further and further apart.

Add triangles as soon as possible (Vietoris-Rips filtration). Homology classes are born and die (display on Persistence Diagram).

Multiplicative persistence of the last H_1 class (enclosure) is very small for islands and high elsewhere.

Gives an automatic classifier which can be used to make a map of the field line class.

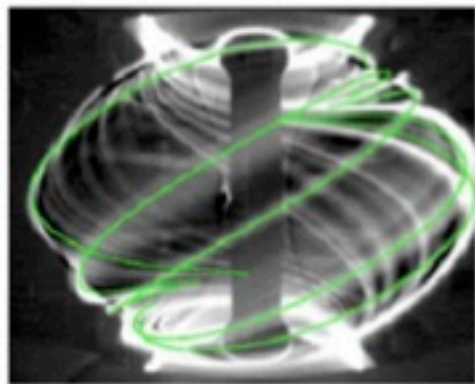
Procedure should generalise to problem of phase space orbit identification in high dimensions.



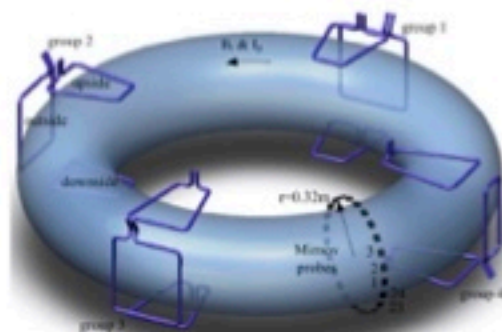
Tokamak Stability Zoo

A whole zoo of modes. Can divide them as:

- Most-serious (disruptive): e.g. external modes such as the $(n, m) = (1, 1)$ *external kink*, driven by gradients in pressure and current density
- Serious but tolerable (performance-limiting):
 - *Sawteeth*, internal kink, $(n, m) = (1, 1)$ – reconnection of core. Periodic collapse of central temperature
 - Alfvén eigenmodes, wave-particle resonance driven. Loss of fast particle confinement
 - *Edge-Localised Modes* (ELMs), which occur for moderately high m and n .



ELM mitigation / suppression demonstrated by application of resonant magnetic perturbation coils, that deliberately perturb edge

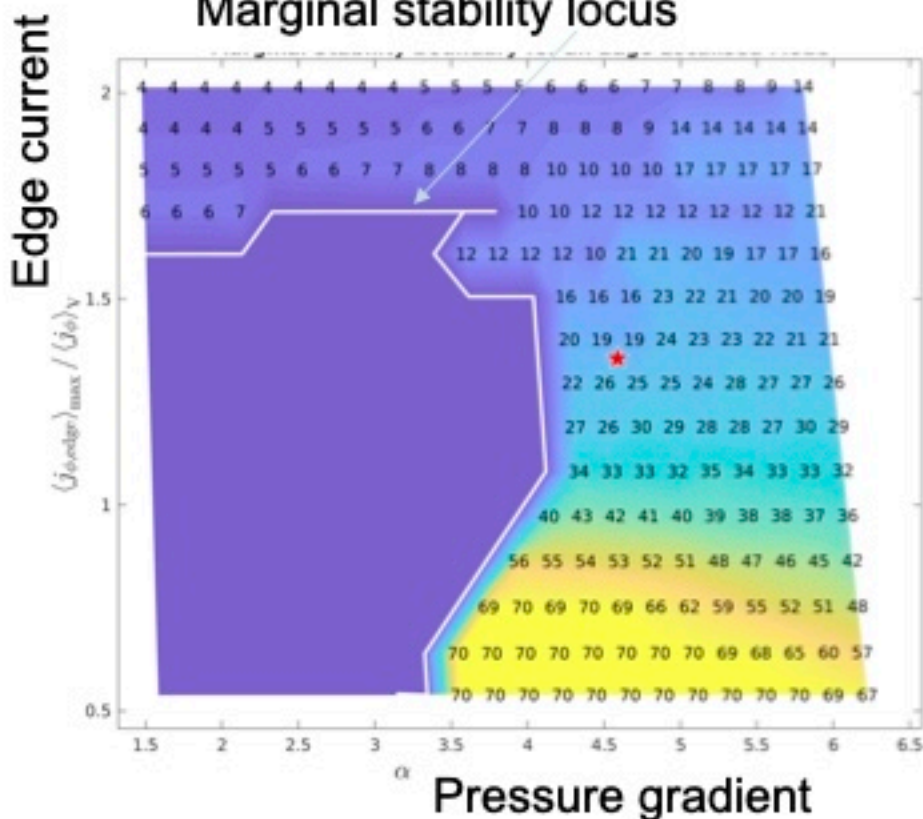


The effect of plasma anisotropy on ELMs

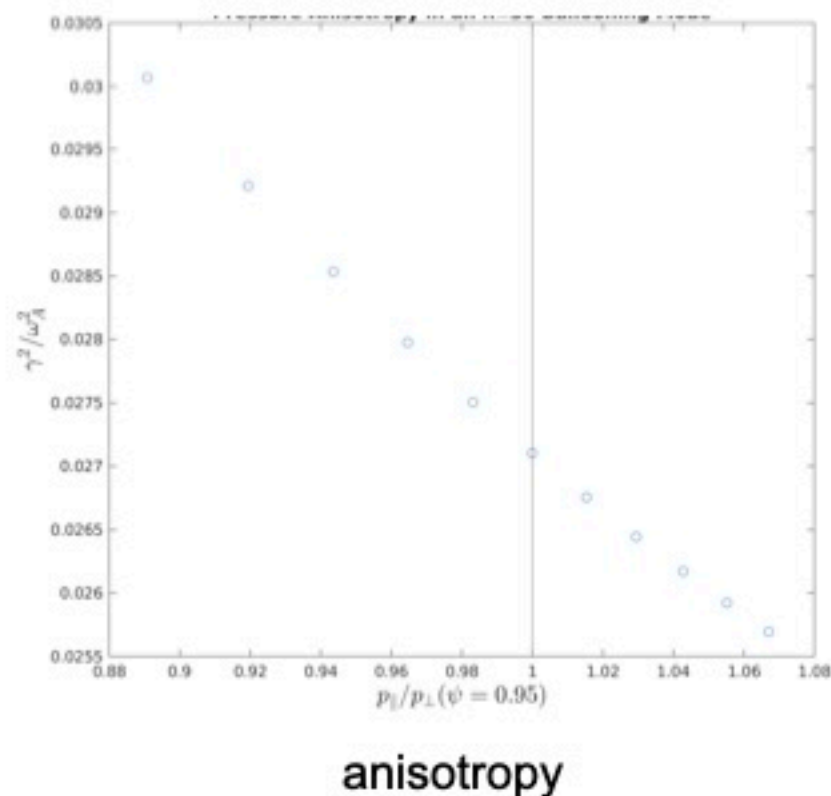
Doak

ELMs comprise instabilities driven by high currents (peeling modes) and steep pressure gradients (ballooning modes) that form in the edge pedestal

Marginal stability locus



Growth rates for a n=30 ballooning mode



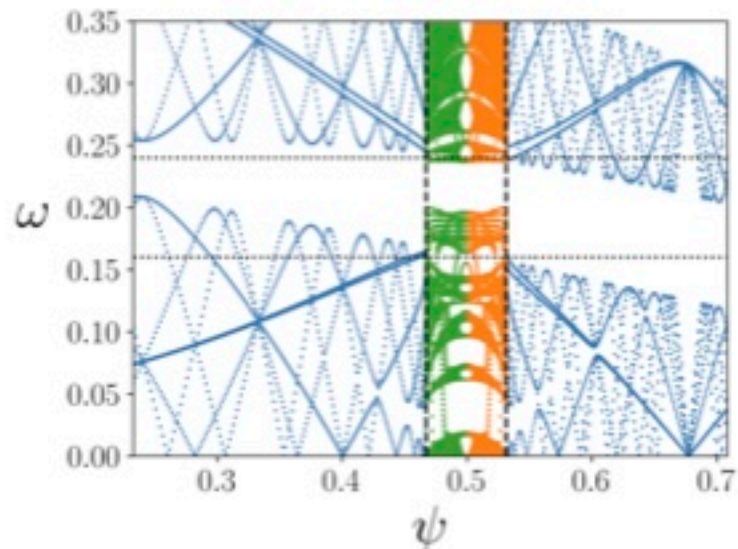
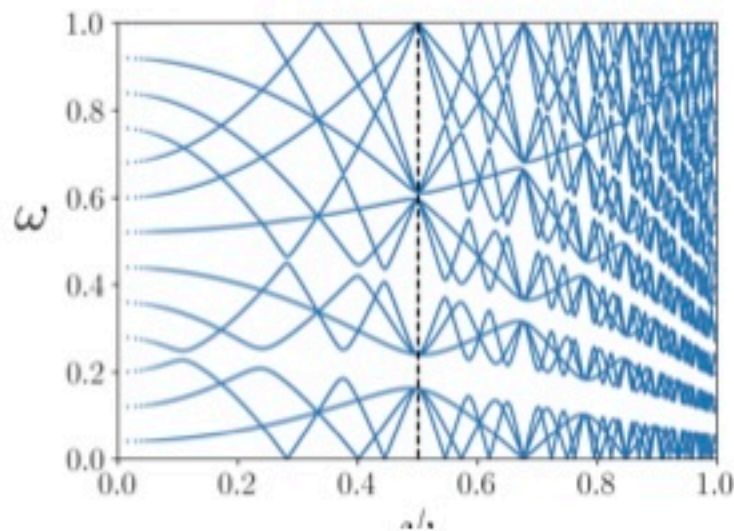
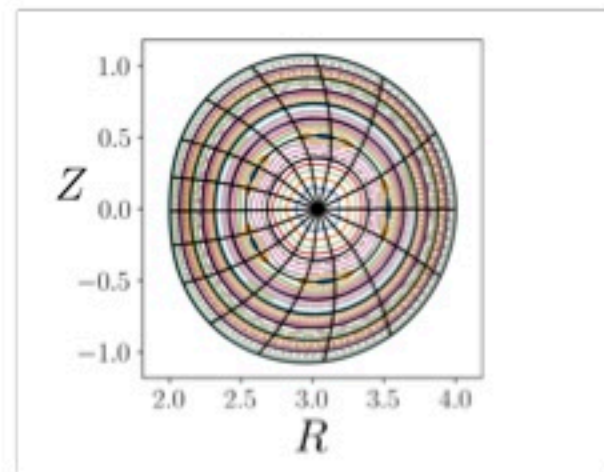
Growth rates γ of linear perturbations

$$\xi(t) = \xi e^{(\gamma - i\omega)t}$$

Kinetic Alfvén continuum with magnetic islands

Thomas

- Alfvén waves exist in a continuum of frequencies
- Z. Qu showed how this continuum is modified by magnetic islands using ideal MHD
- We want to extend this to include non-ideal effects of finite Larmor radius



Provably stable method for magnetic field aligned anisotropic diffusion

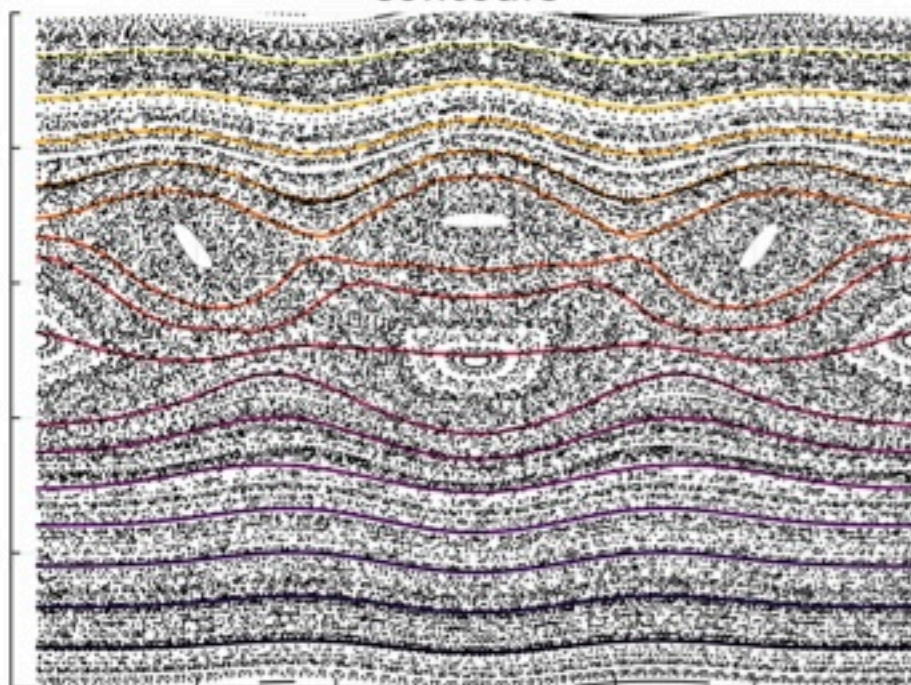
Muir

$$\frac{\partial u}{\partial t} = \nabla \cdot (\kappa_{\perp} \nabla_{\perp} + \kappa_{\parallel} \nabla_{\parallel}) u$$

where $\nabla_{\parallel} = \mathbf{B} \frac{\mathbf{B} \cdot \nabla}{\|\mathbf{B}\|^2}$, $\nabla_{\perp} = \nabla - \nabla_{\parallel}$ and $\kappa_{\parallel} / \kappa_{\perp} \sim 10^{10}$.

- Multiscale due to ratio of $\kappa_{\parallel} / \kappa_{\perp}$
- Numerical error quickly overwhelms small perpendicular diffusion
- Grid aligned with magnetic field often impossible
- Numerical method:
 - preserves IBP
 - Weak imposition of boundary conditions
 - Provably numerically stable

Poincaré section with overlaid solution contours



Structure preserving discretisations for collision operations

Jeyakumar

Collaboration with David Pfefferlé UWA and IPP Michael Kraus



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- Adding dissipation (e.g. collisions) to the Vlasov equation:

$$\underbrace{\partial_t f + \mathbf{v} \cdot \nabla_x f + \frac{q}{m}(\mathbf{E} + \mathbf{v} \times \mathbf{B}) \cdot \nabla_v f}_{\text{Hamiltonian}} = \underbrace{C[f](\mathbf{v})}_{?}$$

- Examples of collision operators:

$$C[f](v) = \nu \frac{\partial}{\partial v} \left(\frac{\partial f}{\partial v} + v f \right),$$

$$C[f](v) = \frac{\partial}{\partial v} \cdot \int Q(v - v') \left(f(x, v') \frac{\partial f(x, v)}{\partial v} - f(x, v) \frac{\partial f(x, v')}{\partial v'} \right) dv'.$$

Aim: to solve this equation while preserving structures (Hamiltonian/Poisson), invariants etc. in particle-in-cell simulations



exact flow



explicit Euler



symplectic Euler

Exact and numerical flow for the pendulum problem

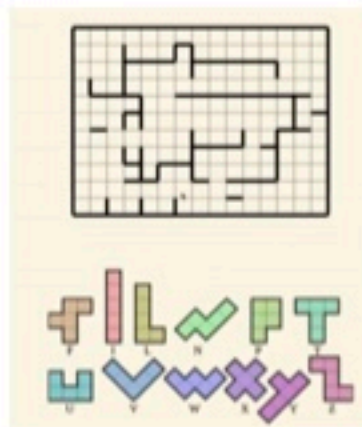
AI for Analysis of Physics Codes and Instrument Models

Gretton

- We have developed new AIs for circumstances where we encounter
 - a. hard combinatorial puzzles -- e.g., can this code/controller/model yield bad results?
 - b. real-time operational constraints -- e.g., dynamic control of instrument at 1khz.

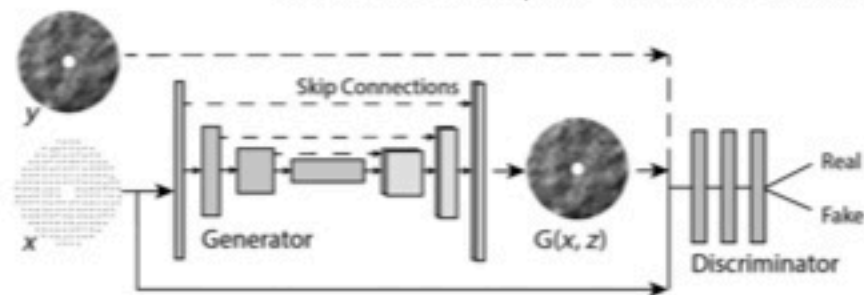
a. Hard combinatorial puzzle

Burgess *et al.*,
AAAI2023/PRICAI2022



b. Real-time constraint in instrumentation motivates AI *network-based* phase estimate

Smith *et al.*, SPIE/JATIS 2023



Fusion Plasma Initiatives

Strategic Planning for Fusion Science in Australia:
Australian ITER Forum, 2005 - present



IAEA Consultancy Meeting on Assessment of Cooperation Areas in Fusion
Education and Capacity Building (6-9 June 2022)



IAEA



Host “ Mathematics Sol Terrae”, April 2022: topic of higher mathematics
related to high performance computing in the fields of the solid Earth
(geophysics), land-atmosphere carbon exchange, and solar physics.

ANU-ITER Global Partnership

Investment from ANU, MSI, CECS, COS, Comp. Science, Engineering
PhD top-up and internship scheme to undertake projects relevant to ITER



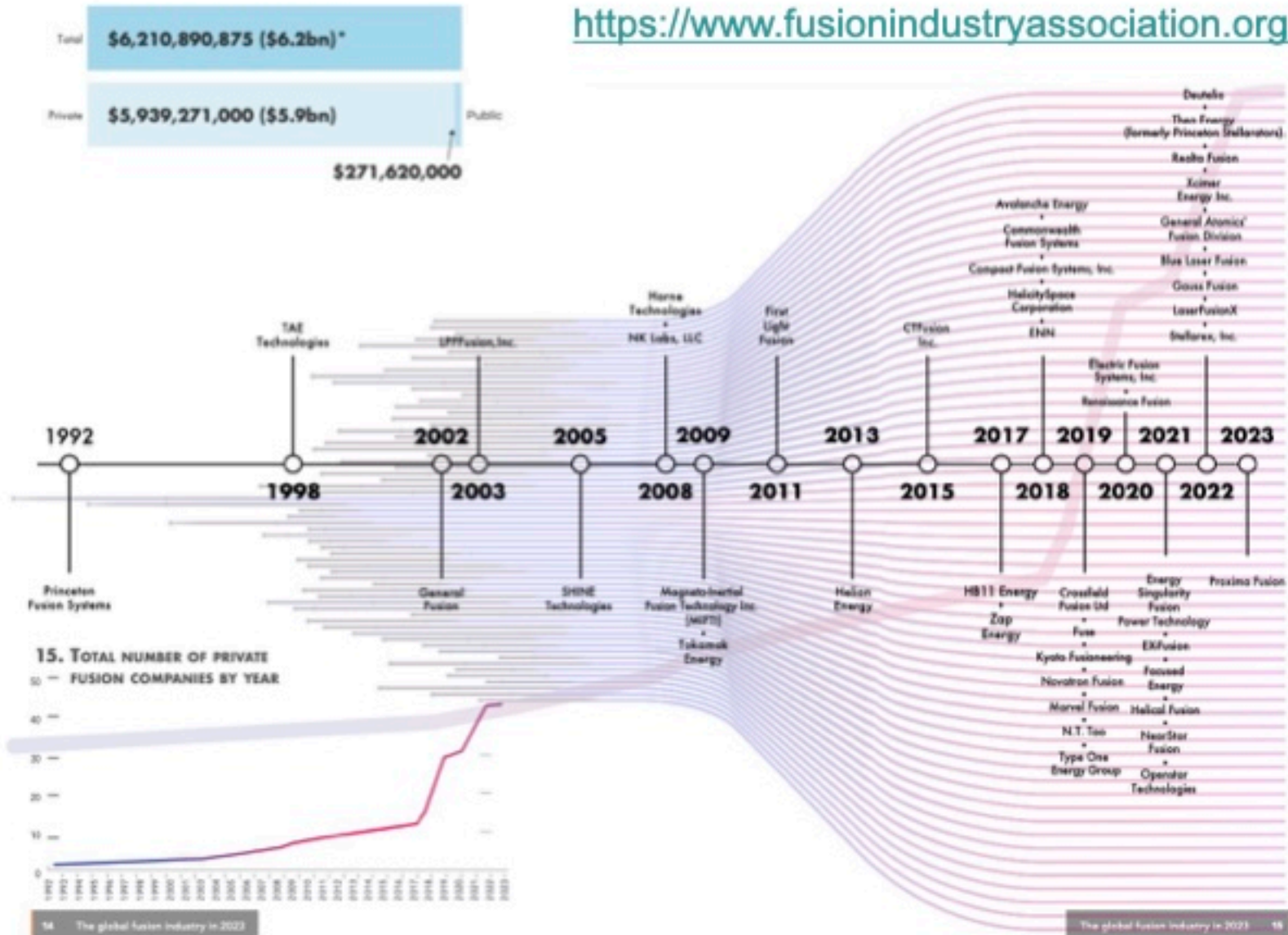
Simons Hidden Symmetries and Fusion Energy Collaboration
Australian Retreat: 4 – 15 December 2023, ANU

SIMONS
FOUNDATION

<https://maths.anu.edu.au/news-events/events/simons-hidden-symmetries-and-fusion-energy-collaboration-australian-retreat>

Fusion Private Industry Investment

<https://www.fusionindustryassociation.org/>



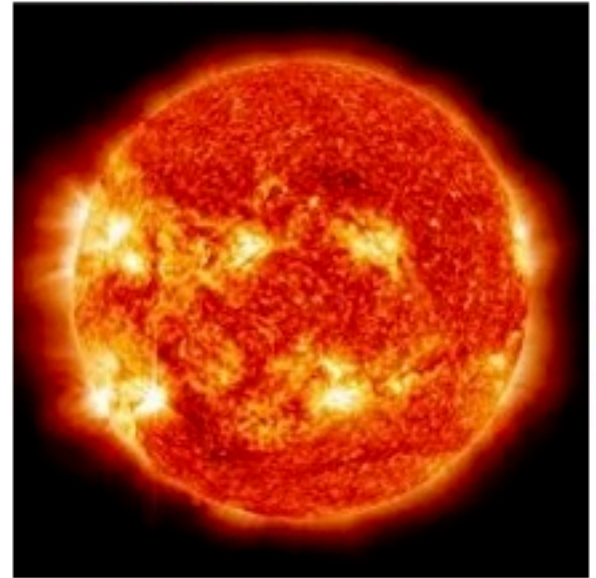
Summary

- Introduction to Toroidal Magnetic Confinement
- Fusion reactor 101: Physics / Engineering constraints of a power plant
- The role of ITER
- Alternative Toroidal Magnetic Confinement Concepts
- Like high energy particle physics, experimental burning plasma physics is big science. Collaboration is the future.
- Generator of interesting mathematical and computational challenges.
- Private investment may create opportunity...

Implications *when* Fusion Power realised

On Earth, fusion could provide:

- Large-scale energy production:
 - Essentially limitless fuel*
 - No greenhouse gases*
 - Intrinsic safety*
 - Cannot be weaponised*
 - No long-lived radioactive waste*
- Industrial heat



Ubiquitous, near limitless clean power could:

- Lift the developing world out of poverty
- End (energy) wars
- Power large-scale clean water through desalination
- Enable CO₂ removal from the atmosphere
- Enable extra-solar space travel....

SimCity fusion power plant

